



**DEFICIENT MUSCLE REGENERATION POTENTIAL IN
SARCOPENIC COPD PATIENTS: ROLE OF SATELLITE CELLS**

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3 1 **DEFICIENT MUSCLE REGENERATION POTENTIAL IN SARCOPENIC COPD**
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5 2 **PATIENTS: ROLE OF SATELLITE CELLS**

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30
31 18 available from the corresponding author on reasonable request.

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For Peer Review

ABSTRACT

Sarcopenia is a major comorbidity in COPD. Whether deficient muscle repair mechanisms and regeneration exists in vastus lateralis (VL) of sarcopenic COPD remains debatable. In vastus lateralis (VL) of control subjects and severe COPD patients with/without sarcopenia, satellite cells (SCs) were identified (immunofluorescence, specific antibodies, anti-Pax-7 and anti-Myf-5): activated (Pax-7+/Myf-5+), quiescent/regenerative potential (Pax-7+/Myf-5-), and total SCs, nuclear activation (TUNEL), and muscle fiber type (morphometry and slow- and fast-twitch, and hybrid fibers), muscle damage (hematoxylin-eosin staining), muscle regeneration markers (Pax-7, Myf-5, myogenin, and MyoD), and myostatin levels were identified. Compared to controls, in VL of sarcopenic COPD patients, myostatin content, activated SCs, hybrid fiber proportions, TUNEL-positive cells, internal nuclei, and muscle damage significantly increased, while quadriceps muscle strength, numbers of Pax-7+ /Myf-5- and slow- and fast-twitch, and hybrid myofiber areas decreased. In VL of sarcopenic and non-sarcopenic patients, TUNEL-positive cells were greater, whereas muscle regeneration marker expression was lower than in controls. In VL of severe COPD patients regardless of the sarcopenia level, muscle regeneration process is triggered as identified by satellite cell activation and increased internal nuclei. Nonetheless, a lower regenerative potential along with significant alterations in muscle phenotype and damage, and increased myostatin were prominently seen in sarcopenic COPD.

Word count: 200

KEY WORDS: COPD; lower limb muscles; muscle regeneration markers; myostatin; satellite cells

57 INTRODUCTION

58 Sarcopenia is a major comorbidity commonly associated with chronic respiratory diseases
59 including COPD. The reduction of muscle mass and strength of the lower limbs differs vastly
60 from that taking place in the respiratory muscles (Esther Barreiro, 2019; Gea & Martínez-
61 Llorens, 2019; Gea et al., 2019; Jaitovich & Barreiro, 2018). Sarcopenia limits the exercise
62 capacity of the patients, thus having a negative impact on their daily-life activities and quality
63 of life (Kwan et al., 2019; Shrikrishna et al., 2012). Furthermore, sarcopenia predicts death
64 for the same degree of disease severity as measured by the level of the airway obstruction
65 (Marquis et al., 2002; Swallow et al., 2007).

66 In the multifactorial etiology of sarcopenia associated with COPD, several clinical
67 factors and conditions inherent to the respiratory disease, namely deconditioning, exert
68 deleterious effects on the limb muscles through biological events and mechanisms as
69 previously reported in relevant investigations. Most of the investigations have focused on the
70 elucidation of factors and mechanisms primarily involved in the process of muscle mass loss
71 in the limb muscles of the patients (E. Barreiro, Sznajder, Nader, & Budinger, 2015; Esther
72 Barreiro, 2019; Gea & Martínez-Llorens, 2019; Gea et al., 2019; Jaitovich & Barreiro, 2018)
73 and animal models (Chacon-Cabrera et al., 2014; Chacon-Cabrera, Lund-Palau, Gea, &
74 Barreiro, 2016). Nonetheless, few studies have addressed the issue of the ability of the
75 skeletal muscles to regenerate, let alone in muscles of COPD patients. The regenerative
76 potential of a muscle will determine its capacity to regenerate following injury.

77 The progenitor myoblasts fuse during development to form skeletal muscles. Under
78 normal conditions, in adult muscles, sporadic fusion of satellite cells, defined as postnatal
79 muscle stem cells, takes place to replace the muscle turnover related to daily life activity. As
80 skeletal muscle has the ability to regenerate following injury, regeneration of muscle tissue is
81 a very fine regulated process in adults. The interaction between satellite cells and the

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3 82 microenvironment are the basis of muscle regeneration. As such, satellite cell numbers have
4
5 83 been shown to be modified under specific conditions such as in aging (Suetta et al., 2013),
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7 84 disuse muscle atrophy (Snijders et al., 2014), prolonged bed rest (Arentson-Lantz, English,
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9 85 Paddon-Jones, & Fry, 2016), and exposure to cigarette smoking (Chan et al., 2020). These
10
11 86 modifications may alter the process of muscle regeneration in those conditions, which in turn,
12
13 87 may coincide in patients with respiratory diseases such as in COPD.
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17 88 The population of satellite cells is not homogeneous. Indeed, it has been proposed that
18
19 89 satellite cells are heterogeneous populations of stem cells and committed progenitor cells that
20
21 90 play different roles in the process of muscle regeneration. The activity of the skeletal muscle
22
23 91 influenced the numbers of satellite cells in different models. For instance, aging hampered
24
25 92 muscle regrowth following a period of disuse muscle atrophy in healthy humans (Suetta et al.,
26
27 93 2013). In middle-aged adults, a decline in satellite cell counts was observed in the atrophic
28
29 94 muscles following a 14-day period of bed rest (Arentson-Lantz et al., 2016). Nonetheless,
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31 95 changes in satellite cell counts were not detected in the quadriceps muscle of healthy young
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33 96 subjects following a two-week period of one-legged knee immobilization (Snijders et al.,
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35 97 2014).
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40 98 Importantly, in the intercostal muscles of severe COPD patients, activation of satellite
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42 99 cells along with microstructural changes were detected (Martínez-Llorens et al., 2008).
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44 100 However, muscle regeneration and satellite cell counts were reduced in the vastus lateralis
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46 101 (VL) of patients with COPD with preserved body composition (Menon et al., 2012; M.-E.
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48 102 Thériault, Paré, Lemire, Maltais, & Debigaré, 2014; M. E. Thériault, Paré, Maltais, &
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50 103 Debigaré, 2012). Whether muscle regeneration events and satellite cell counts and types may
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52 104 differ in the lower limb muscles of patients with a different degree of disease severity and/or
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54 105 body composition remains to be fully elucidated.
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58 106 Myostatin is a potent negative regulator of muscle mass in mammals. Myostatin keeps
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3 107 the quiescence of satellite cells, whereas its absence triggers activation of satellite cells.
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5 108 Deletion of myostatin gene favors muscle mass and may lead to muscle hypertrophy (Ohno et
6
7 109 al., 2016). Moreover, myostatin blockade elicited an improvement in the regenerative
8
9 110 potential of limb muscles of mice following cardiotoxin-induced injury (Ohno et al., 2016).
10
11 111 Recently, it has also been demonstrated that myostatin plays a key role in sarcopenia and it
12
13 112 was suggested that its blockade improved muscle regeneration following injury (Scimeca et
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15 113 al., 2017). Moreover, myostatin inhibition reduced muscle atrophy through upregulation of
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17 114 markers involved in muscle regeneration in limb muscles of rats (Wurtzel et al., 2017).
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21 115 On this basis, we hypothesized that the muscle regenerative potential as measured by
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23 116 the number of stem cells and other markers of muscle regeneration may be altered in the
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25 117 quadriceps of patients with COPD, especially in those with sarcopenia. Furthermore, protein
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27 118 levels of the potent negative regulator of muscle regeneration myostatin were also assessed in
28
29 119 the VL of sarcopenic COPD patients. Accordingly, the study objectives were that in the
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31 120 vastus lateralis of severe COPD patients with a wide range of body composition, including
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33 121 those with sarcopenia, the following events were analyzed: 1) identification and counts of
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35 122 stem cells (regenerative potential) and committed progenitors, 2) markers of muscle
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37 123 regeneration and myostatin, and 3) muscle structural abnormalities and phenotype. A group
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39 124 of control subjects was also recruited for the purpose of the investigation, in whom muscle
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41 125 biopsies were also obtained and analyzed accordingly.
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49 127 **METHODS**

50 51 128 **Study design and population**

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53 129 This was a prospective, controlled, cross-sectional study, in which 45 patients (25 males) with
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55 130 stable COPD (Miravittles et al., 2017; Vogelmeier et al., 2017) were recruited consecutively
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57 131 from the COPD clinics of the Respiratory Department at Hospital del Mar (Barcelona) over
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3 132 the years 2018-2019. Additionally, 13 age-matched control subjects (6 males) were recruited
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5 133 from the general population (patients' relatives or friends) at Hospital del Mar. COPD patients
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7 134 were further subdivided into those with and without loss of muscle mass and strength
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9
10 135 (sarcopenic COPD, n=26, 11 males and non-sarcopenic COPD, n=19, 14 males) following the
11
12 136 international consensus criteria on muscle wasting and sarcopenia (Cao & Morley, 2016;
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14 137 Muscaritoli et al., 2010) and previously published criteria (Esther Barreiro, Salazar-Degracia,
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16 138 Sancho-Muñoz, & Gea, 2019; Puig-Vilanova, Martínez-Llorens, et al., 2015).

19 139 In all patients, reduced muscle mass was defined as a fat-free mass index (FFMI)
20
21 140 $\leq 18 \text{ kg/m}^2$, cut-off value established for a Mediterranean population in accordance with both
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23 141 previously published criteria (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens,
24
25 142 et al., 2015) and the international consensus on the definition of sarcopenia (Cao & Morley,
26
27 143 2016; Muscaritoli et al., 2010). Moreover, muscle weakness was defined on the basis of
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29 144 previous investigations (approximately 25% reduction in quadriceps force compared to that
30
31 145 observed in control subjects) (Puig-Vilanova, Martínez-Llorens, et al., 2015; Seymour et al.,
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33 146 2010). Control subjects were never-smoker male and female sedentary control individuals
34
35 147 recruited from the general population (patients' relatives or friends), while patients in both
36
37 148 groups were active smokers or ex-smokers. All patients were on inhaled bronchodilators.
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39 149 They were clinically stable at the time of the study, without episodes of exacerbation or oral
40
41 150 steroid treatment in the previous three months. None of them presented significant
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43 151 comorbidities. All groups of individuals were Caucasian.

49 152 *Exclusion criteria.* Exclusion criteria for COPD patients and control subjects included other
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51 153 chronic respiratory or cardiovascular disorders, acute exacerbations in the last three months,
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53 154 limiting osteoarticular condition, chronic metabolic diseases, suspected para-neoplastic or
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55 155 myopathic syndromes, and/or treatment with drugs known to alter muscle structure and/or
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57 156 function including oral corticosteroids. COPD patients and control subjects were qualified as
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3 157 sedentary after being specifically inquired about whether they were conducting any regular
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5 158 outdoor physical activity, going regularly to the gymnasium, or participating in any specific
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7 159 training program. Specifically, sedentarism was defined on the basis of the following criteria:
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9
10 160 1) if subjects were not engaged in one or more of these activities: walking, running, bike
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12 161 riding, swimming, dancing, gardening, or weight lifting more than five times per week, 2) not
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14 162 performing at least three hours/week of endurance-type physical activity, and/or 3) inactive
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16 163 general state in which leisure time physical activity was minimal (Ricciardi, 2006). Moreover,
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18 164 the time spent in sedentary postures (lying and sitting) was also considered in the assessment
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20 165 of sedentarism in the study groups (Ricciardi, 2006).

23 166 **Ethics**

25
26 167 The current investigation was designed in accordance with both the ethical standards on
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28 168 human experimentation in our institution and the World Medical Association guidelines
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30 169 (Seventh revision of the Declaration of Helsinki, Fortaleza, Brazil, 2013) (Shrestha & Dunn,
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32 170 2020) for research on human beings. Approval was obtained from the institutional Ethics
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34 171 Committee on Human Investigation (*Hospital del Mar-IMIM*, Barcelona, project number
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36 172 2018/7937/I). Informed written consent was obtained from both patients and control subjects.

39 173 **Anthropometric and functional assessment**

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42 174 Anthropometric evaluation included body mass index (BMI) and determination of FFMI
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44 175 using bioelectrical impedance (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens,
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46 176 et al., 2015). Nutritional parameters were also evaluated through conventional blood tests.
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48 177 Diagnostic criteria for sarcopenia were BMI < 21 kg/m² and FFMI < 18 kg/m² in all patients
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50 178 (Esther Barreiro et al., 2019; Coin et al., 2008; Puig-Vilanova, Martínez-Llorens, et al., 2015).
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52 179 Lung function was evaluated through determination of spirometry, static lung volumes,
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54 180 diffusion capacity, and blood gases using standard procedures and well-established reference
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3 181 values (Roca, Burgos, Barberà, et al., 1998; Roca, Burgos, Sunyer, et al., 1998; Roca et al.,
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5 182 2014).

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7 183 Quadriceps muscle strength was evaluated in both patients and controls through the
8
9 184 determination of the isometric maximum voluntary contraction (QMVC) of the dominant
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11 185 lower limb as formerly described (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-
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13 186 Llorens, et al., 2015). Briefly, patients were seated with both trunk and thigh fixed on a rigid
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15 187 support of an exercise platform (Domyos HGH 050, Decathlon, Lille, France). The highest
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17 188 value from three brief reproducible maneuvers (<5% variability among them) was accepted as
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19 189 the QMVC.

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23 190 *Exercise capacity.* Exercise capacity was assessed through the six-minute walking distance
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25 191 following previous methodologies (Rodriguez et al., 2012). The test consisted of two attempts
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27 192 (with at least a 30-minute rest between them) in a 30-meter corridor. Encouragement was
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29 193 given every minute and the test was interrupted if symptoms of exhaustion appeared. A
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31 194 modified Borg scale was used to quantify the levels of dyspnea and legs discomfort.

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33 195 Maximum exercise capacity was also measured using standardized incremental on a
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35 196 cycloergometer (Jones, Makrides, Hitchcock, Chypchar, & McCartney, 1985; Rodriguez et
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37 197 al., 2012). Pulmonary gas exchange and ventilatory measurements were obtained from
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39 198 calibrated signals derived from rapid response gas analyzer and a mass flow sensor. Oxygen
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41 199 uptake (VO_2), pulmonary carbon dioxide output (VCO_2), minute ventilation (VE), respiratory
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43 200 exchange ratio (RER) were also registered during each respiration. Heart rate (HR) was
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45 201 determined using a ten-lead online electrocardiogram and oxygen saturation by pulse
46
47 202 oximetry (SpO_2). After one minute of breathing at rest, subjects pedaled on an electrically
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49 203 braked cycloergometer (Ergoline Ergometrix 900, Überprüfung, Germany) (Jones et al., 1985;
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51 204 Rodriguez et al., 2012). An integrated computer recorded cardiorespiratory variables during
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3 205 the test (Ultima, MedGraphics Corporation, St. Paul, MN, USA). Patients were encouraged to
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5 206 continue until they could no longer sustain the target pedaling load.
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8 207 **Blood samples and muscle biopsy**

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10 208 Patients and control subjects rested for one hour on a chair with legs half-flexed, time at
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12 209 which blood samples were obtained, after an overnight fasting period, right before initiation
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14 210 of the surgical procedures (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens, et
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16 211 al., 2015). Specimens from the VL portion of the quadriceps muscle (50-100 mg average
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18 212 weight) were obtained from all subjects using the open biopsy technique as previously
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20 213 described (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens, et al., 2015).
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22 214 Muscle specimens were always cleaned out of any blood contamination with saline. They
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24 215 were immediately frozen in liquid nitrogen and stored in the -80°C freezer (under permanent
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26 216 alarm control) for further analyses or immersed in an alcohol-formol bath for two hours to be
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28 217 thereafter embedded in paraffin. Frozen tissues were used for mRNA expression and
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30 218 immunoblotting techniques, while paraffin-embedded tissues were used for the assessment of
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32 219 structural modifications (immunohistochemical analysis).
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37 220 **Biological analyses**

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39 221 *Muscle fiber counts and morphometry.* Myosin heavy chain (MyHC) -I and -II isoforms were
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41 222 identified in paraffin sections (three-micrometer thick) from VL muscles corresponding to the
42
43 223 three study groups. The following antibodies were used: anti-MyHC-I (ab11083, Abcam,
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45 224 Cambridge, UK) and anti-MyHC-II (ab51263, Abcam) antibodies. Myofibers positively
46
47 225 stained appeared in brown color. The fibers positively stained with the two antibodies
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49 226 simultaneously were identified as the hybrid fibers. The cross-sectional area, calculated using
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51 227 the mean least diameter, and the proportions of type I, type II and of the hybrid fibers were
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53 228 estimated with the aid of a light microscope (Olympus BX 61, Olympus Corporation,
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55 229 Hamburg, Germany) coupled with an image-digitizing camera (Pixera Studio, version 1.0.4,
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3 230 Pixera Corporation, Los Gatos, CA, USA) and a digital image processing software (ImageJ,
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5 231 version 2006.02.01, National Institutes of Health, Bethesda, MD, USA). In all study groups,
6
7 232 the amount of fibers measured and counted in each muscle preparation ranged between 100
8
9 233 and 300 (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens, et al., 2015).

10 234 *Muscle structure abnormalities.* Three-micrometer paraffin-embedded sections from the
11
12 235 muscle specimens of the three study groups were used to assess the proportions of muscle
13
14 236 abnormalities (Esther Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens, et al., 2015). A
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16 237 grid of 63 point-intercepts (7 x 9 rectangular pattern was superimposed onto the image of the
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18 238 muscle cross section at a magnification of x400 under the light microscope (Olympus BX 61,
19
20 239 Olympus Corporation) using an image digitizing camera (Olympus DP 71, Olympus
21
22 240 Corporation). Each point-intercept was assigned to a specific category and entered into the
23
24 241 software. Categories for point counting were defined as follows: 1) normal muscle, 2) internal
25
26 242 nucleus, 3) inflammatory cell, 4) lipofuscin, 5) abnormal viable, 6) inflamed/necrotic, 7)
27
28 243 vessel, and 0) no count. The area fraction for each category was defined as the percentage of
29
30 244 points that fell on each of these traits relative to the total number of points superimposed on
31
32 245 all viable fields (all features except for categories 0 and 7) of each cross section. The area
33
34 246 fraction of normal muscle was equivalent to the proportions of points falling in category 1,
35
36 247 while the area fraction of abnormal muscle was determined by calculation of the proportion of
37
38 248 points included in the other categories (categories 2 to 6).

39 249 *Terminal deoxynucleotidyl transferase-mediated dUTP nick-end labeling (TUNEL) assay.* In
40
41 250 three-micrometer paraffin-embedded sections of muscle specimens, activation of the
42
43 251 myonuclei was determined using the TUNEL assay (ApopTag Peroxidase In Situ Apoptosis
44
45 252 Detection Kit, Merck Millipore, Burlington, United States). The manufacturer's instructions
46
47 253 and previously published studies were followed (E. Barreiro et al., 2011; Salazar-Degracia et
48
49 254 al., 2016). In brief, during nuclear activation, fragments of genomic DNA can be generated.
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3 255 These strand breaks in the DNA sequence can be identified by labeling 3'-OH terminal
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5 256 groups with modified nucleotides in an enzymatic reaction catalyzed by the terminal
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7 257 deoxynucleotidyl transferase (TdT) enzyme. Muscle sections were fixed, permeabilized and
8
9 258 immediately incubated with the TUNEL Working Strength TdT Enzyme and the anti-
10
11 259 Digoxigenin Conjugate. TdT catalyzed the adding of digoxigenin-dNTP at 3'-OH terminal
12
13 260 groups in single- and double-stranded DNA. After washing, the sections were incubated with
14
15 261 an anti-digoxigenin antibody conjugated with peroxidase, which resulted in brown color upon
16
17 262 reaction. Negative control experiments, in which TdT enzyme was not added, were also
18
19 263 performed. Apoptotic nuclei appeared in brown color, while negative nuclei were green
20
21 264 (methyl green counterstaining). Only nuclei located within the muscle fiber boundary were
22
23 265 counted in the study. Positive nuclei and the total number of nuclei were counted by two
24
25 266 trained observers (correlation coefficient 95%). Apoptotic nuclei were expressed as the
26
27 267 percentage of the TUNEL-positive nuclei to the total number of counted nuclei (E. Barreiro et
28
29 268 al., 2011; Salazar-Degracia et al., 2016). A minimum of 300 nuclei were counted in each
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31 269 muscle specimen for all the study groups.

32
33 270 *Satellite cell identification using immunofluorescence microscopy.* Specific antibodies were
34
35 271 used to detect quiescent, activated and total satellite cells using immunofluorescence as
36
37 272 previously described (M. Guitart, Lloreta, Mañas-Garcia, & Barreiro, 2018). Briefly, three-
38
39 273 micrometer paraffin sections of the muscle specimens of all study groups were deparaffinized
40
41 274 and rehydrated by successive immersions in xylene, ethanol 100%, ethanol 90%, ethanol 70%
42
43 275 and phosphate-buffered saline (PBS). A pressure cooker containing 10 mM citrate buffer (pH
44
45 276 6.0) was used to boil the sections for 20 minutes. Following a two-hour cooling period,
46
47 277 sections were blocked with blocking solution (3% BSA, 10% goat serum, and 0.5% triton in
48
49 278 PBS) for one hour. The sections were then incubated overnight at 4°C with a mixture of two
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51 279 different primary antibodies: mouse monoclonal anti-Pax-7 IgG1k supernatant (1:20;
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3 280 Developmental Studies Hybridoma Bank, Iowa, USA) and rabbit polyclonal anti-Myf-5 IgG
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5 281 (1:100, AVIVA systems, San Diego, USA), prepared in blocking solution. After incubation
6
7 282 with the primary antibodies, the sections were incubated with the corresponding secondary
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9 283 antibodies at room temperature for one hour: Alexa Fluor® 488 AffiniPure goat anti-mouse
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11 284 IgG, Fc γ Subclass 1 Specific (1:800, Jackson ImmunoResearch, West Grove, USA) and Alexa
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13 285 Fluor® plus 555 goat anti-rabbit IgG (H+L) (1:1000, Thermo Fisher Scientific, Waltham,
14
15 286 USA) respectively, which were also prepared in blocking solution along with 4',6'-
16
17 287 diamidino-2-phenylindole (DAPI) (1:1000). Finally, the sections were mounted using 70%
18
19 288 glycerol in 30% PBS. A fluorescence microscope (Nikon Eclipse Ni, Nikon, Tokyo, Japan) at
20
21 289 a magnification of x400 coupled with a digitizing camera was used to count the number of
22
23 290 satellite cells. Anti-Pax-7 antibody alone was used to detect quiescent satellite cells, while the
24
25 291 combination of anti-Pax-7 and anti-Myf-5 antibodies detected committed satellite cells (M.
26
27 292 Guitart et al., 2018). The addition of quiescent and committed satellite cells corresponded to
28
29 293 the total number of satellite cells. Results are expressed as follows: 1) Pax-7+/Myf-5-
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31 294 quiescent satellite cells, 2) Pax-7+/Myf-5+ activated satellite cells and 3) the addition of both
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33 295 types of cells identified as total satellite cells. All types of fibers were normalized by the
34
35 296 number of myofibers counted within the ten fields analyzed in each muscle preparation. The
36
37 297 number of counted satellite cells ranged from 25 to 144 (quiescent), from 0 to 38 (activated),
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39 298 and from 31 to 165 (total satellite cells) for the ten fields counted in each muscle preparation
40
41 299 in a similar fashion in the three study groups.

42
43 300 *RNA isolation.* Snap-frozen muscle specimens were used to isolate total RNA using Trizol
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45 301 reagent (Life technologies, Carlsbad, California, USA). Fifty mg of muscle samples diluted in
46
47 302 1 mL of Trizol reagent were homogenized using a T10 basic Ultra-Turrax Polytron (IKA®-
48
49 303 Werke GmbH & Co. KG, Staufen, Germany) at maximum speed for 20 seconds. The
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51 304 homogenized samples were incubated for a short period (10 minutes) at room temperature to
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1
2
3 305 allow the nuclear proteins to dissociate. Subsequently, 0.2 mL chloroform were added to the
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5 306 samples, which were vigorously shaken manually for 15 seconds to be incubated at room
6
7 307 temperature for three minutes. The samples were centrifuged at 12,000 g at 4 °C for 15
8
9 308 minutes. The centrifugation process separated the homogenates into a lower red organic phase
10
11 309 and a white interphase, and a color-less upper aqueous phase. RNA content remained in the
12
13 310 aqueous phase. The RNA-containing aqueous phase was transferred to a fresh tube and the
14
15 311 RNA was precipitated by adding 0.5 mL isopropanol. The samples were then centrifuged at
16
17 312 12,000 g at 4 °C for ten minutes. The pellet was kept and washed with 1 mL 75% ethanol and
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19 313 the samples were mixed using a vortex, to be thereafter centrifuged at 7,500 g at 4 °C for five
20
21 314 minutes. Finally, the RNA pellet was air-dried for ten minutes and solved in RNase-free.
22
23 315 Total RNA concentrations were determined spectrophotometrically using the NanoDrop 1000
24
25 316 (Thermo Scientific, Waltham, MA, USA).

26
27 317 *mRNA reverse transcription (RT)*. Complementary DNA (cDNA) was generated from 100 ng
28
29 318 mRNA using oligo(dT)12-18 primers, dNTP mix, DTT and the Super-Script III reverse
30
31 319 transcriptase as indicated by the manufacturer's instructions (Life Technologies, Carlsbad,
32
33 320 CA, USA). RNA was reverse-transcribed using SuperScript III reverse transcriptase and
34
35 321 oligo(dT) primers in a 20- μ l total reaction volume at 50°C for 60 minutes. The reverse
36
37 322 transcription reaction was finished by heating at 70°C for 15 minutes to stop the reaction.
38
39 323 Samples were stored at -80°C until further use.

40
41 324 *Quantitative real time-PCR amplification (qRT-PCR)*. Interestingly, qRT-PCR reactions were
42
43 325 performed using the QuantStudio 12K Flex Real-Time PCR System (Thermo Fisher
44
45 326 Scientific, Waltham, MA, USA) together with the following commercially available gene
46
47 327 expression assays: PAX3 (Hs00240950_m1, Life Technologies), PAX7 (Hs00242962_m1,
48
49 328 Life Technologies), MYF5 (Hs00929416_g1, Life Technologies), MYOD1
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51 329 (Hs00159528_m1, Life Technologies), MYOG (Hs01072232_m1, Life Technologies),
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3 330 MYH7 (Hs00165276_m1, Life Technologies), MYH2 (Hs00430042_m1, Life Technologies)
4
5 331 and MYH1 (Hs00428600_m1, Life Technologies). The housekeeping gene glyceraldehyde-3-
6
7 332 phosphate dehydrogenase (GAPDH) (Hs99999905_m1, Life Technologies) served as the
8
9 333 endogenous control for mRNA gene expression (Table 1) (Esther Barreiro et al., 2019; M.
10
11 334 Guitart et al., 2018; Puig-Vilanova, Martínez-Llorens, et al., 2015). Duplicates from all
12
13 335 samples were run and the average value was calculated for each study sample. The results
14
15 336 obtained from the experiments were collected and analyzed using the ExpressionSuite
16
17 337 Software version 1.1 from Applied Biosystems (Thermo Fisher Scientific, Waltham, MA,
18
19 338 USA), in which the comparative CT method ($2^{-\Delta\Delta CT}$) for relative quantification was used as
20
21 339 previously reported (Maria Guitart, Lloreta, Mañas-Garcia, & Barreiro, 2018; Livak &
22
23 340 Schmittgen, 2001).

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25
26 341 *Immunoblotting.* Protein levels of myostatin were analyzed using immunoblotting procedures
27
28 342 as previously described (Esther Barreiro et al., 2019; M. Guitart et al., 2018; Puig-Vilanova,
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30 343 Martínez-Llorens, et al., 2015). Briefly, frozen samples from all experimental groups were
31
32 344 homogenized in lysis buffer: 50 mM HEPES, 150 mM NaCl, 100 mM NaF, 10 mM Na
33
34 345 pyrophosphate, 5 mM EDTA, 10% glycerol, 0.5% Triton-X, 5 µg/mL aprotinin, 2 µg/mL
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36 346 leupeptin, 100 µg/mL PMSF, and 10 µg/mL pepstatin A.

37
38 347 Proteins were separated by electrophoresis, transferred to polyvinylidene difluoride (PVDF)
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40 348 membranes, blocked with bovine serum albumin (BSA) and incubated overnight with the
41
42 349 corresponding primary antibody: Myostatin (anti-GDG8, Bethyl Laboratories, Montgomery,
43
44 350 USA) and the endogenous control glyceraldehyde-3-phosphate dehydrogenase (GAPDH)
45
46 351 (anti-GAPDH antibody, Santa Cruz Biotechnology).

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48
49 352 Antigens from all samples were detected with horseradish peroxidase (HRP)-conjugated
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51 353 secondary antibodies and a chemiluminescence kit. For each of the antigens, samples from the
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53 354 different groups were always detected in the same picture under identical exposure times.
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3 355 PVDF membranes were scanned with the Molecular Imager Chemidoc XRS System (Bio-
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5 356 Rad Laboratories, Hercules, CA, USA) using the software Quantity One version 4.6.5 (Bio-
6
7 357 Rad Laboratories). Optical densities of specific proteins were quantified using the software
8
9 358 Image Lab version 2.0.1 (Bio-Rad Laboratories). Final optical densities obtained in each
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11 359 specific group of subjects and muscle corresponded to the mean values of the different
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13 360 samples (lanes) of each of the antigens studied. In order to validate equal protein loading
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15 361 among various lanes, the glycolytic enzyme GAPDH was used as the protein loading controls
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17 362 in all the immunoblots.

18
19 363 *Myostatin identification using immunohistochemical procedures.* Myostatin was identified in
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21 364 paraffin sections (three-micrometer thick) from VL muscles corresponding to the three study
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23 365 groups using conventional immunohistochemical procedures as previously described (Puig-
24
25 366 Vilanova, Martínez-Llorens, et al., 2015; Puig-Vilanova, Rodriguez, et al., 2015). Following
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27 367 deparaffinization, a pressure cooker containing 10 mM citrate buffer (pH 6.0) was used to boil
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29 368 the sections for 20 minutes. Following a two-hour cooling period, sections were blocked with
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31 369 6% hydrogen peroxide for 15 min and with a blocking solution (3% BSA, 10% goat serum,
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33 370 and 0.5% triton in PBS) for one hour. The sections were then incubated overnight at 4°C with
34
35 371 the primary antibody: Myostatin (anti-GDG8, Bethyl Laboratories). Slides were then
36
37 372 incubated with universal secondary antibody (polystain-1 step kit, HRP for DBA, mouse and
38
39 373 rabbit, Neo Biotech, Nanterre, France) for 30 minutes, followed by incubation with the
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41 374 substrate diaminobenzidine (DAB) (DAB kit, Neo Biotech) for five minutes. Hematoxylin
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43 375 counterstaining was performed, and slides were dehydrated and mounted for conventional
44
45 376 microscopy. Images of the stained muscle sections were captured with a light microscope
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47 377 (Olympus BX 61, Olympus Corporation) coupled with an image-digitizing camera (Pixera
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49 378 Studio, version 1.0.4, Pixera Corporation).

50 51 52 53 54 55 56 57 58 379 **Statistical Analysis**

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3 380 Normality of the study variables was checked using the Shapiro-Wilk test. All the results are
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5 381 expressed as mean (standard deviation). For the quantitative variables, one-way analysis of
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7 382 variance (ANOVA) with *Tukey's post hoc* analysis was used to adjust for multiple
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9 383 comparisons among the study groups. For the qualitative variables (smoking history), χ^2 test
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11 384 was used to assess differences among the three study groups. A level of significance of $P \leq$
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13 385 0.05 was established.
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18 19 387 **RESULTS**

20 21 388 **Functional and nutritional status of the study subjects**

22
23 389 Body composition as measured by BMI and FFMI was significantly reduced in sarcopenic
24
25 390 COPD patients compared to non-sarcopenic controls and control subjects (Table 2). Smoking
26
27 391 history including the number of packs-year was similar in both groups of COPD patients and
28
29 392 significantly differed from that of the controls (never smokers, Table 2). As expected, both
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31 393 groups of COPD patients exhibited severe airflow limitation and a decline in diffusion
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33 394 capacity, especially the sarcopenic patients (Table 2). Moreover, compared to control
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35 395 subjects, exercise tolerance was significantly reduced in both groups of COPD patients,
36
37 396 whereas quadriceps muscle strength was significantly lower only in the sarcopenic patients
38
39 397 compared to both non-sarcopenic and control subjects (Table 2). Nutritional blood parameters
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41 398 including CRP did not significantly differ among the three study groups of subjects, while
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43 399 fibrinogen levels were significantly greater in both groups of COPD patients than in the
44
45 400 controls (Table 2).

46 47 401 **Muscle phenotype and damage**

48
49 402 The proportions of slow-twitch muscle fibers were significantly lower in the VL of the
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51 403 sarcopenic COPD patients than in the control subjects, while those of the hybrid fibers
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53 404 increased in the limb muscles of both groups of COPD patients (Figure 1A and Table 3).
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3 405 Furthermore, the proportions of hybrid fibers were significantly greater in the lower limb
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5 406 muscles of the sarcopenic patients than in non-sarcopenic patients (Figure 1A and Table 3).
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7 407 Compared to the controls, the cross-sectional area of slow-twitch fibers was significantly
8
9 408 reduced in the VL of both groups of COPD patients. Furthermore, the size of both fast-twitch
10
11 409 and hybrid fibers was significantly smaller in muscles of the sarcopenic patients than in both
12
13 410 control subjects and non-sarcopenic patients (Figure 1A and Table 3). The proportions of
14
15 411 muscle structural abnormalities and internal nuclei counts were significantly greater in the VL
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17 412 of both groups of COPD patients than in control subjects (Figure 1B). Besides, muscle
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19 413 structural abnormalities were also significantly greater in the VL of sarcopenic than in non-
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21 414 sarcopenic COPD patients (Figure 1B). Interestingly, the number of TUNEL-positive nuclei
22
23 415 was significantly increased in the VL of both groups of COPD patients compared to those in
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25 416 the control subjects (Figures 2A and 2B). Among all COPD patients, significant correlations
26
27 417 were detected between muscle phenotype variables (proportions of slow- and fast-twitch
28
29 418 fibers and CSA of type I fibers) and lung function parameters (degree of airway obstruction
30
31 419 and diffusion capacity, respectively, Table 4A). Among the COPD patients, significant
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33 420 positive correlations were also detected between CSA of slow and fast-twitch fibers and
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35 421 hybrid fibers and FFMI (Table 4A). Furthermore, among all COPD patients as a whole,
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37 422 quadriceps strength significantly correlated with TUNEL-positive nuclei and muscle
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39 423 abnormalities (Table 4A).

424 **Satellite cells in muscles of COPD patients**

425 The number of quiescent satellite cells as measured by Pax-7+/Myf-5- cells was significantly
426 lower only in VL of the sarcopenic COPD patients than in the control subjects (Figures 3A
427 and 3B). Counts of activated satellite cells (Pax-7+/ Myf-5+) were significantly greater in the
428 VL of both groups of COPD patients than in control subjects (Figures 3A and 3B). Numbers
429 of total satellite cells did not significantly differ among the three study groups (Figures 3A
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3 430 and 3B). Besides, among all COPD patients as a whole, the number of muscle activated
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5 431 satellite cells inversely correlated with plasma levels of CRP (Table 4A). Specifically, in VL
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7 432 of sarcopenic COPD patients, expression levels of PAX7 and MYOD significantly correlated
8
9 433 with the degree of airway obstruction (Table 4B). Moreover, in the same muscles, proportions
10
11 434 of slow-twitch fibers significantly correlated with the lung function parameters FEV₁/FVC
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13 435 and DLco (Table 4B).
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17 436 **Expression of muscle regeneration and regulatory markers in COPD patients**

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19 437 Gene expression levels of the regeneration markers Pax-7 and Myf-5 (proliferation phase) and
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21 438 MyoD and MyHCI (differentiation phase) were significantly reduced in the VL of both
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23 439 groups of COPD patients compared to the control subjects (Figure 4A). Protein expression
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25 440 levels of myostatin significantly increased in the VL of the sarcopenic patients compared to
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27 441 both control subjects and non-sarcopenic COPD patients (Figure 4B and 4C). The expression
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29 442 of MyHCIx was significantly upregulated only in the VL of the sarcopenic COPD patients
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31 443 compared to the control subjects (Figure 4A).
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37 445 **DISCUSSION**

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39 446 In this investigation, the most relevant findings were that in sarcopenic COPD patients with
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41 447 preserved nutritional status and decreased exercise capacity, muscle strength was, indeed,
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43 448 significantly reduced and in the VL of these patients, a significant decline in muscle
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45 449 regeneration potential as measured by the number of Pax-7+/Myf-5- satellite cells, a decrease
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47 450 in slow-twitch fiber type proportions and in the size of both fast-twitch and hybrid fibers,
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49 451 along with a significant rise in both hybrid fibers proportions and muscle structural
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51 452 abnormalities were observed. Importantly, in the limb muscles of both groups of COPD
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53 453 patients, the numbers of internal nuclei, activated satellite cells (Pax-7+/Myf-5+), and
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55 454 TUNEL-positive nuclei were significantly greater than in the controls, while the expression of
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3 455 markers of early (proliferation phase, Pax-7 and Myf-5) and late (differentiation phase, MyoD
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5 456 and MyHCI) muscle regeneration was downregulated. Levels of the potent negative regulator
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7 457 myostatin increased only in the limb muscles of the sarcopenic COPD patients. Collectively,
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9 458 these findings suggest that markers of regenerative potential in the limb muscles of sarcopenic
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11 459 COPD patients is reduced and myostatin may play a significant role. Moreover, as the rise in
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13 460 muscle damage levels were detected to a greater extent in the VL of the sarcopenic COPD
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15 461 patients (64% greater than in control muscles), such structural alterations are also likely to be
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17 462 involved in the triggering of the muscle regeneration process (Cheung & Rando, 2013; Yin,
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19 463 Price, & Rudnicki, 2013). The most relevant results are discussed below.

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22 464 Previous investigations have shown that the number of central nuclei, a marker of
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24 465 muscle regeneration, and those of senescent satellite cells were increased in lower limb
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26 466 muscles of COPD patients with different degrees of body composition (M.-E. Thériault et al.,
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28 467 2014; M. E. Thériault et al., 2012).

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31 468 Among COPD patients, significant correlations were observed between lung function
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33 469 parameters, especially airway obstruction and diffusion capacity and the proportions of slow-
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35 470 twitch fibers, and patients with better lung function parameters were those with a higher
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37 471 proportion of type I fibers. Conversely, proportions and size of type II fibers inversely
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39 472 correlated with the degree of airway obstruction and diffusion capacity. These are relevant
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41 473 findings that suggest that lung function partly contributes to the slow-to-fast fiber type switch
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43 474 in the lower limb muscles of COPD patients as also previously implied (Esther Barreiro et al.,
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45 475 2018; Puig-Vilanova, Martínez-Llorens, et al., 2015; Puig-Vilanova, Rodriguez, et al., 2015).
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47 476 Furthermore, the size of slow- and fast-twitch fibers and that of hybrid fibers also correlated
48
49 477 with FFMI, suggesting that lean body mass was associated with a greater CSA of all the
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51 478 muscle fiber types among the COPD patients. In addition, muscle damage and internal nuclei
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53 479 counts were also inversely correlated with isometric strength of the quadriceps muscle of all
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3 480 the COPD patients. Collectively, these are relevant novel findings that indicate that muscle
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5 481 structure and function are clearly interrelated and should be assessed on routine basis in
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7 482 COPD patient clinics, especially in patients with alterations in their body composition.
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10 483 Another interesting finding in the study was the negative correlation found between CRP
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12 484 plasma levels and the number of activated satellite cells. These results illustrate that systemic
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14 485 inflammation as measured by CRP somehow influenced the process of muscle regeneration as
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16 486 also implied to occur in muscles of COPD patients (Ioannis Vogiatzis et al., 2007).

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19 487 In the current investigation, a relatively large number of sarcopenic COPD patients of
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21 488 a fairly “young age”, with a significant decline in quadriceps muscle function, preserved
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23 489 nutritional status, and altered body composition were carefully recruited. With the aim to
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25 490 elucidate whether muscle regeneration potential may be hampered in the lower limb muscles
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27 491 of sarcopenic COPD patients, two different phenotypes of satellite cells were determined in
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29 492 the present study. As previously characterized (Kuang, Kuroda, Le Grand, & Rudnicki, 2007),
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31 493 sublamina satellite cells that express Pax-7 but do not express Myf-5 constitute the satellite
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33 494 cell reservoir of a given muscle. In that seminal investigation (Kuang et al., 2007), it was
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35 495 clearly demonstrated that Pax-7+/Myf-5+ satellite cells preferentially differentiate into muscle
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37 496 fibers, whereas Pax-7+/Myf-5- satellite cells contribute to the satellite cell reservoir enlarging
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39 497 this compartment within skeletal muscle. The conclusions from that study (Kuang et al.,
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41 498 2007) were that two different subpopulations of satellite cells were established on the basis of
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43 499 their ability to express Myf-5 (Kuang et al., 2007). As such Pax-7+/Myf-5+ satellite cells
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45 500 were identified as the committed myogenic progenitors, while Pax-7+/Myf-5- satellite cells
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47 501 were defined as the actual stem cells (Kuang et al., 2007).

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53 502 Importantly, in the present investigation, a significant decline in the number of Pax-
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55 503 7+/Myf-5- satellite cells was detected only in the limb muscles of the sarcopenic COPD
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57 504 patients, but not in those with preserved body composition and normal quadriceps muscle
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3 505 function. These findings imply that the satellite cell reservoir was hampered in the sarcopenic
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5 506 muscles, which may further jeopardize the process of muscle regeneration. In fact, the
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7 507 proportions of slow-twitch muscle fibers were reduced only in the VL of the sarcopenic
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9 508 COPD patients. Moreover, the size of slow-twitch, fast-twitch, and hybrid myofibers was also
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11 509 significantly smaller in the lower limb muscles of the sarcopenic patients, but not in those
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13 510 with preserved body composition. Additionally, muscle structural abnormalities were even
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15 511 greater in the VL of the sarcopenic COPD patients than in those with no muscle loss. These
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17 512 results, which are very consistent with those obtained in previous investigations (Esther
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19 513 Barreiro et al., 2019; Puig-Vilanova, Martínez-Llorens, et al., 2015; Puig-Vilanova,
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21 514 Rodriguez, et al., 2015), may be partly explained by the poorer regenerative potential detected
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23 515 in the limb muscles of the sarcopenic patients. Proportions of hybrid fibers increased in the
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25 516 limb muscles of both groups of COPD patients and the proportions were even greater in the
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27 517 VL of the sarcopenic patients than in the non-sarcopenic group, while their CSA was smaller
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29 518 in the former patients. These are relevant findings that imply that muscles of COPD patients,
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31 519 especially of the sarcopenic ones, are able to adapt to environmental factors such as inactivity,
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33 520 exercise, or aging (Medler, 2019). Collectively, these events are of paramount importance,
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35 521 since a correct muscle regeneration program is required in order to attain full recovery of
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37 522 muscle mass and function in response to different training modalities. Thus, the current
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39 523 results have potential clinical implications for the design of specific exercise training
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41 524 programs as patients with a defective regenerative potential may be less susceptible to
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43 525 improving their muscle mass and/or function even those with preserved nutritional status.
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51 526 It is worth noting that the lower limb muscles of both groups of COPD patients
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53 527 experienced the activation of a muscle regeneration program in a similar fashion. In line with
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55 528 this, the number of specific activated satellite cells (Pax-7+/Myf-5+), internal nuclei, and
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57 529 TUNEL-positive nuclei were notably and similarly increased in the VL of both groups of
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3 530 severe COPD patients compared to those detected in the control muscles. These findings are
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5 531 in line with previous results, in which the process of muscle regeneration was also triggered in
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7 532 the VL of severe COPD patients with a wide range of muscle mass loss (M.-E. Thériault et
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9 533 al., 2014; M. E. Thériault et al., 2012). However, in another study (Menon et al., 2012), the
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11 534 number of satellite cells was similar between COPD patients and healthy controls at baseline.
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13 535 Differences in the level of alterations in body composition compartments and/or muscle
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15 536 function and mass may account for discrepancies encountered among studies (Menon et al.,
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17 537 2012; M.-E. Thériault et al., 2014; M. E. Thériault et al., 2012).

21 538 Furthermore, gene expression levels of the early markers of muscle regeneration Pax-7
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23 539 and Myf-5 were downregulated in the VL of both groups of severe COPD patients compared
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25 540 to the controls. Pax-7 and Myf-5 transcription factors play key roles during the proliferation
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27 541 phase of the muscle regeneration process (M. Guitart et al., 2018; Yin et al., 2013). In keeping
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29 542 with, similar results were also reported in the quadriceps muscle of cachectic COPD patients
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31 543 (Plant et al., 2010; M.-E. Thériault et al., 2014; M. E. Thériault et al., 2012). The
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33 544 downregulation in gene expression of the transcription factors MyoD and Myogenin and of
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35 545 MyHC-I isoform as markers of late muscle differentiation during the regeneration process
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37 546 was another relevant finding in the investigation. These findings are also in line with those
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39 547 previously demonstrated in muscles of patients with advanced COPD (Plant et al., 2010;
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41 548 Puig-Vilanova, Martínez-Llorens, et al., 2015; M.-E. Thériault et al., 2014; M. E. Thériault et
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43 549 al., 2012).

49 550 Myostatin, a member of the transforming growth factor beta family, is a negative
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51 551 regulator of muscle growth. Myostatin is also known to inhibit satellite cell and myoblast
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53 552 proliferation through several mechanisms that lead to cell cycle withdrawal (Walsh & Celeste,
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55 553 2005). Importantly, myostatin levels were significantly greater in the limb muscles of the
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57 554 sarcopenic patients than those detected within the non-sarcopenic patients or the control
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3 555 subjects. These results are line with previous studies, in which myostatin levels were
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5 556 consistently demonstrated to rise in the lower limb muscles of patients with advanced COPD
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7 557 (Harish et al., 2019; Plant et al., 2010; Puig-Vilanova, Martínez-Llorens, et al., 2015; Walsh
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9 558 & Celeste, 2005). In view of all these findings, it would possible to conclude that myostatin
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11 559 may have interfered with the process of muscle cell proliferation early on during the
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13 560 regeneration process, thus leading to poor muscle growth and development following injury.
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15 561 Hence, this may be another mechanism of muscle mass loss in addition to increased
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17 562 proteolysis and/or apoptosis as also consistently shown in previous investigations (Plant et al.,
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19 563 2010; Puig-Vilanova, Martínez-Llorens, et al., 2015; I Vogiatzis et al., 2010). Nonetheless,
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21 564 elucidation of the precise role and implications of myostatin on muscle regeneration and
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23 565 growth in COPD sarcopenia will have to be definitively confirmed in future investigations.
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28 566 On the other hand, the reported findings may also have future clinical implications as
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30 567 myostatin blockade using specific antibodies has been shown to partly revert the loss of
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32 568 muscle mass and function in several experimental models (Harish et al., 2019; Iskenderian et
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34 569 al., 2018; St. Andre et al., 2017) and in patients (Burch et al., 2017; Scimeca et al., 2017).
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36 570 Whether anti-myostatin antibodies can also be effectively used in patients with non-muscle
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38 571 diseases such as in sarcopenic COPD will be the matter of research in future investigations.
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40 572 This will shed more light into the implications of myostatin in the muscle regenerative
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42 573 capacity of sarcopenic COPD patients.
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46 574 **Conclusions**

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49 575 In the lower limb muscles of severe COPD patients regardless of the degree of sarcopenia,
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51 576 muscle regeneration process is triggered as identified by satellite cell activation and a rise in
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53 577 internal nuclei counts. Nonetheless, the regenerative potential along with significant
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55 578 alterations in muscle phenotype (slow-to-fast switch phenotype and smaller fast-twitch and
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57 579 hybrid myofibers) and muscle damage were prominently seen in the sarcopenic COPD. A rise
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3 580 in the muscle growth inhibitor myostatin was also detected only in the VL of the sarcopenic
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5 581 COPD patients, which may further aggravate loss of muscle mass and function in this specific
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7 582 group of patients. These findings have clinical implications as not all COPD patients may
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9 583 equally respond to exercise and/or muscle training modalities and myostatin blockade should
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11 584 be specifically customized to patients with sarcopenia in COPD.
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For Peer Review

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3 588 **Authors' contributions:** Study conception and design: EB, ASM, JMLL; Patient assessment
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5 589 and recruitment and sample collection: ASM, JMLL; Molecular biology analyses: MG;
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8 590 Statistical analyses and data interpretation: MG, ASM, EB; manuscript drafting and
9
10 591 intellectual input: EB, ASM, MG, JG, JMLL, DAR; manuscript writing final version: EB.

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12 592 **Ethical publication statement**

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14 593 We confirm that we have read the Journal's position on issues involved in ethical publication
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16
17 594 and affirm that this report is consistent with those guidelines

18
19 595 **Disclosure of conflict of interest**

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21 596 None of the authors has any conflict of interest to disclose

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24 597 **Editorial support**

25
26 598 None to declare

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Table 1. Gene expression assays used to assess muscle regeneration marker levels

Gene symbol	Assay ID	Genbank accession number
Muscle regeneration markers		
PAX3	Hs00240950_m1	NM_000438.5
		NM_013942.4
		NM_181457.3
		NM_181458.3
		NM_181459.3
		NM_181460.3
		NM_181461.3

PAX7	Hs00242962_m1	NM_001135254.1
		NM_002584.2
		NM_013945.2
MYF5	Hs00929416_g1	NM_005593.2
MYOD1	Hs00159528_m1	NM_002478.4
MYOG	Hs01072232_m1	NM_002479.5
MYH7	Hs00165276_m1	NM_000257.3
MYH2	Hs00430042_m1	NM_001100112.1
		NM_017534.5
MYH1	Hs00428600_m1	NM_005963.3
GAPDH	Hs99999905_m1	NM_001289746.1
		NM_002046.5

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839 Abbreviations: ID, identification; Hs, homo sapiens; m1, multi-exonic gene assay does not detect genomic DNA;

840 g1, multi-exonic gene assay may detect genomic DNA if present in the sample; NM, mRNA RefSeq database

841 category; PAX3, paired box gene 3; PAX7, paired box gene 7; MYF5, Myogenic factor 5; MYOD1, myogenic

842 differentiation 1; MYOG, myogenin; MYH7, myosin heavy chain 7; MYH2, myosin heavy chain 2; MYH1,

843 myosin heavy chain 1; and GAPDH, glyceraldehyde-3-phosphate dehydrogenase.

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Table 2. Clinical characteristics of the COPD patients and control subjects

	Control subjects	COPD patients	
		Non-sarcopenic	Sarcopenic
	N=13	N=19	N=26
Anthropometry			
Age (years)	66 (5)	65 (7)	62 (8)
Body weight (kg)	72 (15)	75 (11)	52 (9) **,###
Males/females	6/7	14/5	11/15
BMI (kg/m ²)	27 (4)	28 (2)	19 (3) #
FFMI (kg/m ²)	17 (2)	18 (1)	14 (1) ***,###
Smoking history			
Active, N (%)	0 (0)	15 (79) ***	16 (62) ***
Ex-smoker, N (%)	0 (0)	4 (21) ***	10 (38) ***

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Never smoker, N (%)	13 (100)	0 (0) ***	0 (0) ***
Packs-year	0 (0)	61 ***	55 ***
Lung function testing			
FEV ₁ , % predicted	97 (14)	36 (11) ***	34 (11) ***
FVC, % predicted	98 (12)	67 (15) ***	70 (16) ***
FEV ₁ /FVC	76 (6)	42 (10) ***	38 (12) ***
RV, % predicted	112 (14)	185 (43) ***	224 (52) ***,#
TLC, % predicted	97 (7)	108 (14)	122 (18) ***,#
RV/TLC	43 (9)	61 (9) ***	65 (8) ***
DL _{co} , % predicted	91 (6)	46 (16) ***	35 (13) ***
KCO ₂ , % predicted	93 (19)	49 (16) ***	40 (14) ***
PaO ₂ (KPa)	NA	9.4 (2.0)	8.6 (4.0)
PaCO ₂ (KPa)	NA	5.5 (0.5)	5.8 (1.0)
Exercise capacity and muscle function			
VO ₂ max (% pred)	120 (32)	59 (18) ***	54 (24) ***
6-min walking distance (m)	523 (55)	421 (79) **	443 (64) **
QMVC (Kg)	42 (13)	40 (12)	31 (7) **,##
QMVC (Kg)/FFM (Kg)	0.9 (0.1)	0.8 (0.2)	0.8 (0.2)
Blood parameters			
Albumin (g/dL)	4.6 (0.2)	4.5 (0.3)	4.6 (0.2)
Total proteins (g/dL)	7.1 (0.3)	7.0 (0.4)	7.1 (0.6)
CRP (mg/dL)	0.3 (0.3)	0.5 (0.3)	0.3 (0.3)
Fibrinogen (mg/dL)	355 (69)	469 (87) **	418 (76) *
GSV (mm/h)	10 (7)	17 (13)	10 (11)

858 Values are expressed as mean (standard deviation).

859 Abbreviations: COPD, chronic obstructive pulmonary disease; N, number of patients; m, meters; BMI, body
 860 mass index; FFMI, fat-free mass index; FFM, fat-free mass, kg, kilograms; FEV₁, forced expiratory volume in
 861 one second; pred, predicted; FVC, forced vital capacity; RV, residual volume; TLC, total lung capacity; DLco,
 862 carbon monoxide transfer; KCO, Krough transfer factor; PaO₂, arterial oxygen partial pressure; PaCO₂, arterial
 863 carbon dioxide partial pressure; VO₂ peak, peak exercise oxygen uptake; QMVC, quadriceps maximum
 864 voluntary contraction; QMVC/FFM, quadriceps maximum voluntary contraction/fat-free mass; g, grams; dL,
 865 deciliter; mg, miligrams.; CRP, C-reactive protein; GSV, globular sedimentation velocity; mm, millimeters; h,
 866 hour. Statistical significance: *, p<0.05, **, p<0.01, ***, p<0.001 between any of the groups of COPD patients
 867 and the control subjects; #, p<0.05, ##, p<0.01, ###, p<0.001 between sarcopenic and non-sarcopenic COPD
 868 patients.

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871 **Table 3. Fiber type characteristics of the vastus lateralis in the study subjects**

	COPD patients		
	Control subjects	Non-sarcopenic	Sarcopenic
Fiber Type I proportion (%)	33.0 (5.9)	28.7 (13.2)	26.6 (9.4)*
Fiber type II proportion (%)	65.2 (5.6)	64.3 (13.7)	63.0 (10.5)
Hybrid fiber proportion (%)	1.8 (1.7)	6.9 (4.1)**	10.3 (6.7)**,#
Type I Fibers CSA, μm^2	3589 (1260)	2678 (540)*	2085 (475)***
Type II Fibers CSA, μm^2	2800 (1322)	2819 (851)	1503 (395)**,#
Hybrid fibers CSA, μm^2	2777 (1534)	2608 (910)	2042 (827)***,#

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873 Mean values and standard deviation of the proportions and sizes (cross-sectional areas) in the VL of both groups
 874 of COPD patients and the control subjects. Definition of abbreviations: N, sample size; CSA, cross sectional
 875 area.

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3 876 Statistical significance: *, $p < 0.05$, **, $p < 0.01$ and ***, $p < 0.001$ between any of the groups of COPD patients
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5 877 and the control subjects; #, $p < 0.05$, ## $p < 0.01$ between sarcopenic and non-sarcopenic COPD patients.
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31 889 **Table 4A. Significant correlations of the study variables among all the study COPD**
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33 890 **patients**
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	FEV ₁ /FVC	FEV ₁	DL _{CO}	KCO	FFMI	QMVC	CRP
TUNEL						$r = 0.432$	
						$P = 0.019$	
Abnormal fraction						$r = -0.470$	
						$P = 0.010$	
Internal nuclei						$r = -0.367$	
						$P = 0.036$	
Fiber Type I %	$r = 0.523$	$r = 0.418$	$r = 0.543$				

	P = 0.003	P = 0.021	P = 0.003		
Fiber Type II %	r = -0.428	r = -0.444	r = -0.467		
	P = 0.003	P = 0.007	P = 0.006		
Type I Fibers CSA			r = 0.460	r = 0.444	r = 0.493
			P = 0.018	P = 0.020	P = 0.007
Type II Fibers CSA					r = 0.673
					P = 0.000
Hybrid fibers CSA					r = 0.415
					P = 0.018
Pax-7+/Myf-5+					r = -0.426
					P = 0.015

891 In the table, units have been omitted for the sake of clarity, as they are already described in the corresponding
 892 figures and tables. COPD, chronic obstructive pulmonary disease; FEV1, forced expiratory volume in 1 s; FVC,
 893 forced vital capacity; DLco, carbon monoxide transfer; KCO, Krough transfer factor; FFMI, fat-free mass index;
 894 QMVC, quadriceps maximum voluntary contraction; CRP, C-reactive protein; CSA, cross sectional area.

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35 922 **Table 4B. Significant correlations of the study variables among sarcopenic COPD**
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37 923 **patients**

	FEV₁/FVC	DL_{CO}
Fiber Type I %	$r = 0.504$	$r = 0.474$
	$P = 0.047$	$P = 0.087$
PAX7	$r = 0.537$	
	$P = 0.015$	
MYOD	$r = 0.703$	
	$P = 0.000$	

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3 924 For the sake of clarity in the table, units have been omitted, as they are already being shown in the corresponding
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5 925 figures and tables. COPD, chronic obstructive pulmonary disease; FEV1, forced expiratory volume in 1 s; FVC,
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7 926 forced vital capacity; DLco, carbon monoxide transfer; CSA, cross sectional area.
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For Peer Review

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3 939 **FIGURE LEGENDS**
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5 940 **Figure 1:** (a) Representative images of VL cross-sectional histological preparations.
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8 941 Myofibers positively stained for slow-twitch antibody appear in brown color in the left panels.
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10 942 Myofibers positively stained for fast-twitch antibody appear in brown color in the right
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12 943 panels. Hybrid myofibers are positively stained for slow-twitch and fast-twitch antibodies
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14 944 (black arrows). (b) Mean values and standard deviation of muscle abnormalities: as measured
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17 945 by total abnormal fraction and proportions of internal nuclei and inflammatory cells identified
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19 946 in the VL of control subjects (white bars) and in both non-sarcopenic (grey bars) and
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21 947 sarcopenic COPD patients (black bars). Statistical significance: * $p \leq 0.05$, ** $p \leq 0.01$, *** $p \leq$
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23 948 0.001 between any of the COPD patient groups and the control subjects; # $p \leq 0.05$ between
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25 949 sarcopenic and non-sarcopenic COPD patients.
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30 951 **Figure 2:** (a) Representative images of TUNEL-positively stained nuclei (brown, black
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32 952 arrows) and TUNEL-negative nuclei (green, dotted arrows) in the VL. (b) Mean values and
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34 953 standard deviation of the percentage of positively stained nuclei for the TUNEL assay in the
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36 954 VL of the control subjects (white bars), non-sarcopenic COPD patients (grey bars) and
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38 955 sarcopenic COPD patients (black bars). Statistical significance: *** $p \leq 0.001$ between any of
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40 956 the groups of COPD patients and the control subjects.
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46 958 **Figure 3:** (a) Mean values and standard deviation of Pax-7+/Myf-5- (quiescent), Pax-7+/Myf-
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48 959 5+ (activated) and total satellite cell counts per myofiber in the VL of control subjects (white
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50 960 bars) and in both non-sarcopenic COPD patients (grey bars) and sarcopenic COPD patients
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52 961 (black bars). Statistical significance: * $p \leq 0.05$, ** $p \leq 0.01$ between any of the groups of
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54 962 COPD patients and the control subjects. (b) Representative images of immunofluorescence
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56 963 staining of DAPI (left panels), Pax-7 (middle-left panels), Myf-5 (middle-right panels) and
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3 964 cells positively stained for both Pax-7 and Myf-5 markers (right panels) in muscles of control
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5 965 subjects and in both non-sarcopenic and sarcopenic COPD patients. Triangle arrows indicate
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7 966 Pax-7 positive cells (quiescent satellite cells) and thin arrows indicate double-stained nuclei
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9 967 for both Pax-7 and Myf-5 positive cells (activated satellite cells).
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14 969 **Figure 4:** (a) Mean values and standard deviation (relative expression) of genes of muscle
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16 970 structure and regeneration in the VL of the control subjects (white bars), non-sarcopenic
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18 971 COPD (grey bars) and sarcopenic COPD patients (black bars). Statistical significance: * $p \leq$
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20 972 0.05, ** $p \leq 0.01$, *** $p \leq 0.001$ between any of the groups of COPD patients and the control
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22 973 subjects. (b) Mean values and standard deviation of myostatin protein, measured in optical
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24 974 densities (OD) using arbitrary units (a.u.) in the VL of the control subjects (white bars) and in
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26 975 both non-sarcopenic (grey bars) and sarcopenic COPD patients (black bars). Statistical
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28 976 significance: ** $p \leq 0.01$ between sarcopenic COPD patients and the control subjects; # $p \leq 0.05$
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30 977 between sarcopenic and non-sarcopenic COPD patients. (c) Representative images of VL
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32 978 cross-sectional histological preparations. Myostatin protein appears in brown color.
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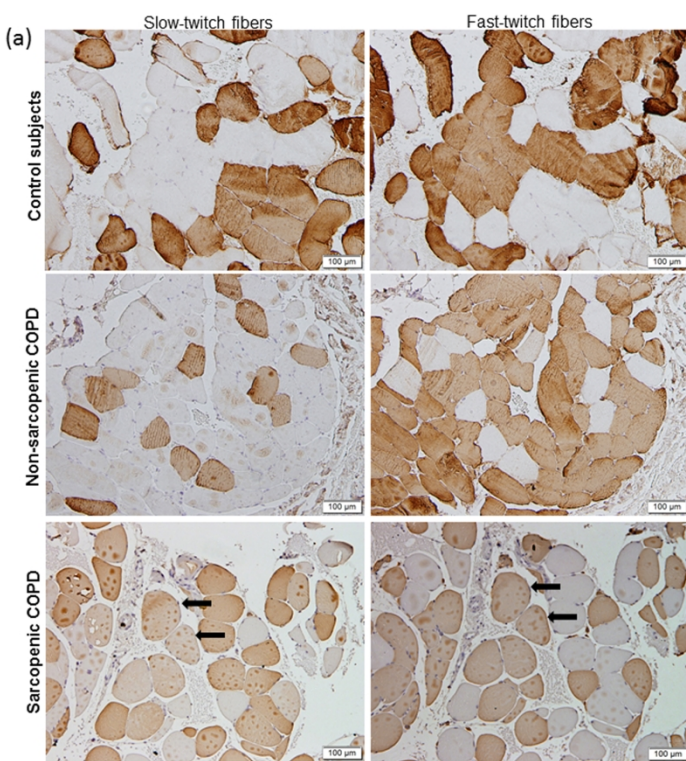


FIGURE 1A

254x190mm (300 x 300 DPI)

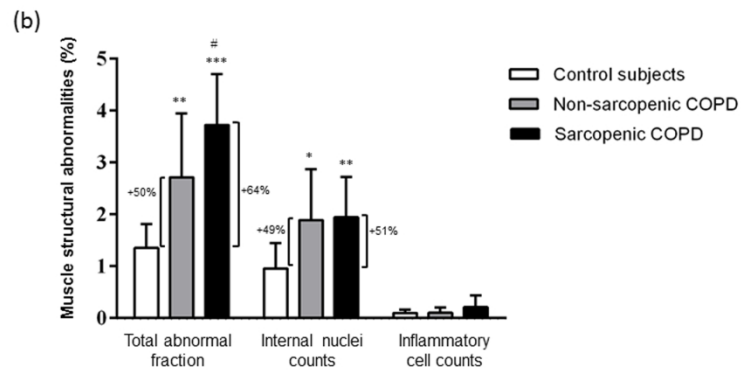


FIGURE 1B

254x190mm (300 x 300 DPI)

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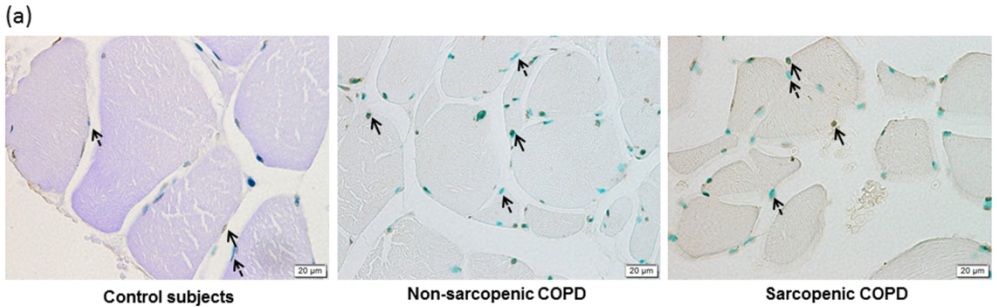


FIGURE 2A

254x190mm (300 x 300 DPI)

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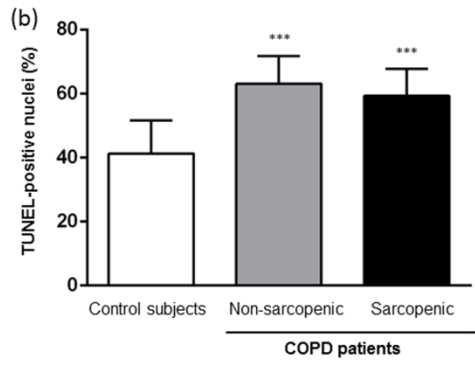


FIGURE 2B

254x190mm (300 x 300 DPI)

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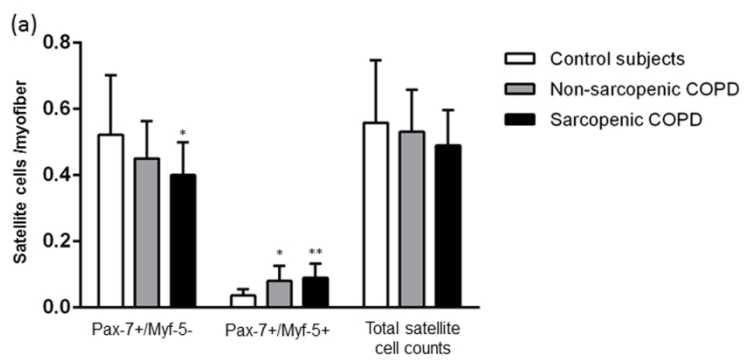


FIGURE 3A

254x190mm (300 x 300 DPI)

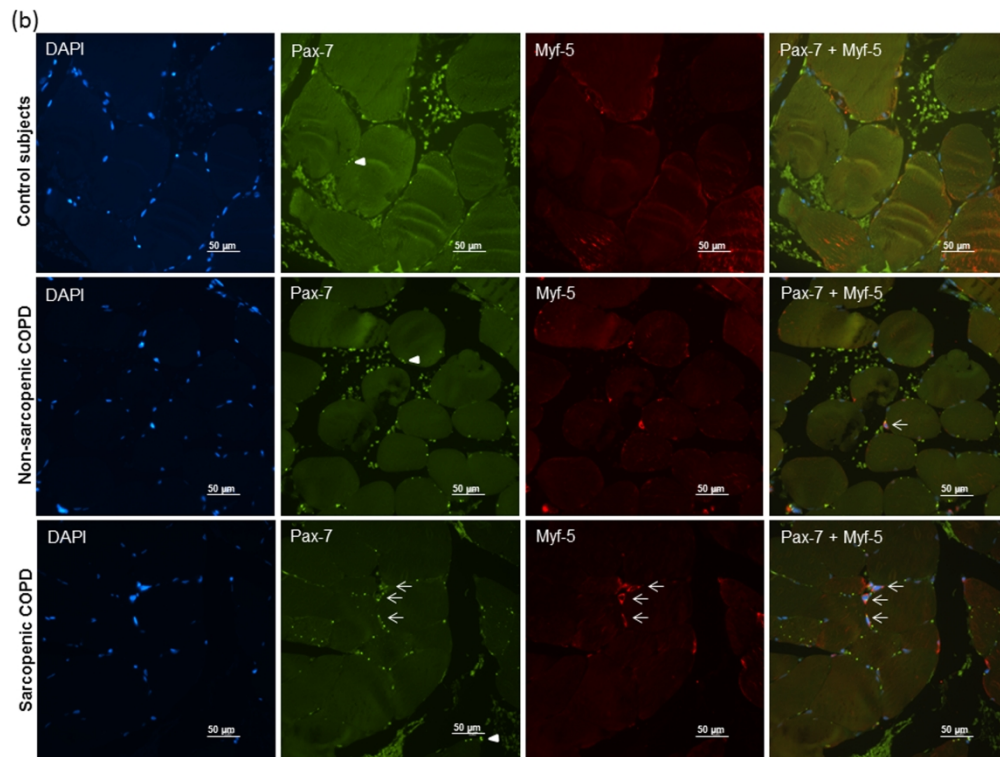


FIGURE 3B

254x190mm (300 x 300 DPI)

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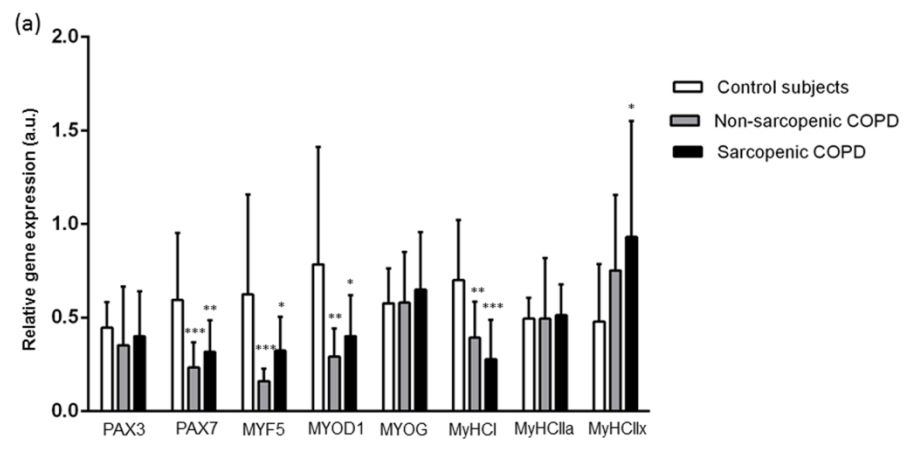


FIGURE 4A

254x190mm (300 x 300 DPI)

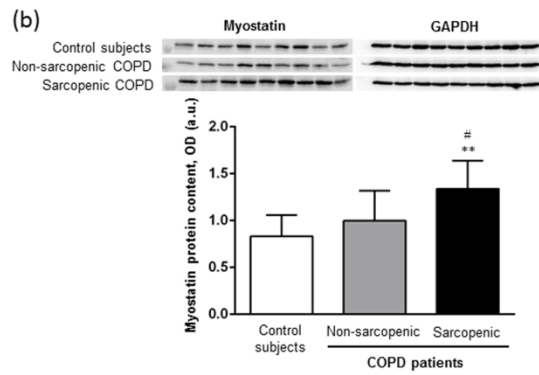


FIGURE 4B

254x190mm (300 x 300 DPI)

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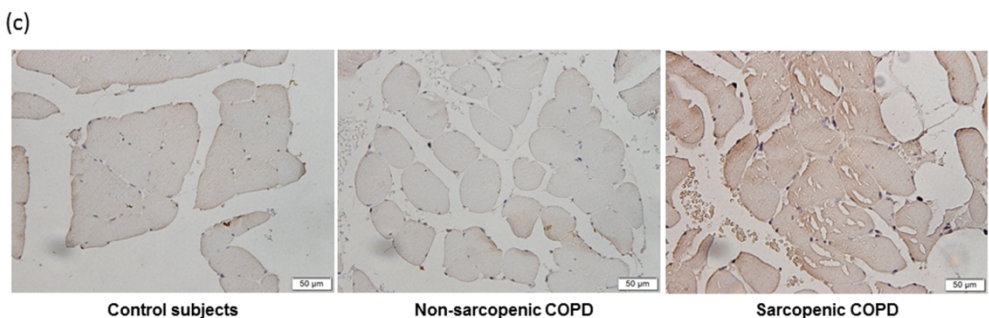


FIGURE 4C
254x190mm (300 x 300 DPI)