

Millimeter-Wave UAV Coverage in Urban Environments

Seongjoon Kang[†] Marco Mezzavilla[†] Angel Lozano^b Giovanni Geraci^b
William Xia[†] Sundeep Rangan[†] Vasilii Semkin[‡] Giuseppe Loianno[†]

[†]NYU Tandon School of Engineering, Brooklyn, NY, USA

[‡]VTT Technical Research Centre of Finland Ltd, Finland

^bUniv. Pompeu Fabra, Barcelona, Spain

Abstract—With growing interest in mmWave connectivity for unmanned aerial vehicles (UAVs), a basic question is whether networks intended for terrestrial service can provide sufficient aerial coverage as well. To assess this possibility in the context of urban environments, extensive system-level simulations are conducted using a generative channel model recently proposed by the authors. It is found that standard downtilted base stations at street level, deployed with typical microcellular densities, can indeed provide satisfactory UAV coverage. Interestingly, this coverage is made possible by a conjunction of antenna sidelobes and strong reflections. As the deployments become sparser, the coverage is only guaranteed at progressively higher UAV altitudes. The incorporation of base stations dedicated to UAV communication, rooftop-mounted and uptilted, would strengthen the coverage provided their density is comparable to that of the standard deployment, and would be instrumental for sparse deployments of the latter.

I. INTRODUCTION

Communication with unmanned aerial vehicles (UAVs) is a promising enhancement to 5G networks [1]–[13]. Many compelling use cases for UAV communication demand consistently high bit rates: over 100 Mbps for things like 8K video broadcasting, critical missions, or surveillance; over 1 Gbps for wireless backhaul enabling radio access via UAV hotspots, say for temporary crowded events or disaster relief [14].

Millimeter wave (mmWave) frequencies provide an opportunity to meet the demands of these high-data-rate UAV applications [15], [16]. These frequencies offer massive bandwidths and, due to directionality, are more immune to interference than their sub-6-GHz counterparts [9]. In addition, aerial links, particularly at high altitudes, have pronounced likelihood of line-of-sight (LOS) coverage and can avoid the blockage issues experienced by terrestrial mmWave networks [17]. Also, relative to a typical smartphone, UAVs have significantly higher power budgets and form factors to accommodate the antenna arrays and the signal processing needed by mmWave transceivers [18], [19].

S. Rangan, W. Xia, S. Kang, and M. Mezzavilla were supported by NSF grants 1302336, 1564142, 1547332, and 1824434, NIST, SRC, and the industrial affiliates of NYU WIRELESS. A. Lozano and G. Geraci were supported by ERC grant 694974, by MINECO's Project RTI2018-101040, by the Junior Leader Fellowship Program from "la Caixa" Banking Foundation, and by the ICREA Academia program. The work of V. Semkin was supported by the Academy of Finland.

As current and envisioned 5G mmWave deployments primarily target ground users, the question arises as to whether such infrastructure suffices to provide satisfactory aerial coverage; if that is not the case, mobile operators will have to deploy dedicated infrastructure to enable aerial connectivity. This question, while pressing, had not been addressed because of the lack of a mmWave aerial channel model.

Capitalizing on a generative channel model recently developed [20] and freely available in open source [21], the present paper investigates this aerial coverage problem. Atop this powerful channel model, capable of representing highly complex multipath propagation scenarios, a system-level simulator has been built; this simulator is also freely available [22]. For the 3GPP antenna patterns [18] and under 5G urban deployment assumptions [1], it is shown that:

- At typical microcellular densities, 5G mmWave networks can indeed provide satisfactory coverage to aerial links. This result is surprising because mmWave antennas are highly directional and standard base stations—gNBs in 5G parlance—are downtilted. Importantly, such coverage turns out to be possible via a combination of antenna sidelobes and strong reflections.
- As their density declines, the coverage from standard gNBs becomes progressively less robust. For low-density deployments, dedicated gNBs mounted on rooftops and uptilted could substantially enhance coverage.

II. GENERATIVE CHANNEL MODEL

As will be seen, aerial coverage depends in a complex manner on the angular and power distributions of signal paths along with the antenna patterns at the UAV and gNB. To capture these distributions accurately, this work employs a recently developed data-driven generative channel model [20], [21], [23]. This model operates in a two-stage fashion:

- The first stage accepts as inputs the location of the UAV relative to the gNB, and the type of gNB in question. Given the UAV-gNB separation and the type of gNB, the model determines the probability of the link being in one of three states: LOS (meaning an LOS path does exist, possibly in addition to other paths), NLOS (meaning no LOS path exists, only other paths), or outage (meaning no paths are available).

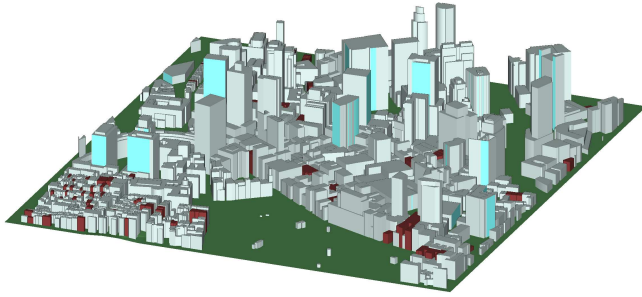


Fig. 1: 3D map of a section of Boston employed in the model training.

- The second stage in the channel model generates, conditioned on the link state (LOS/NLOS/outage), a random number of paths along with the angles, delays, and strengths of the corresponding multipath components. This stage is powered by a variational autoencoder, which is a form of generative model suitable for neural networks.

Both stages can be trained to match the conditional distribution of path parameters found in any environment of interest. For this work, the model was trained on over 20000 links for a section of Boston (see Fig. 1) simulated by the Wireless InSite ray tracing tool [24].

III. SIMULATION FRAMEWORK

Our study is conducted on a wrapped-around 1 km \times 1 km universe featuring a network of *standard* street-level gNBs deployed uniformly at random subject to a certain average intersite distance (ISD_s) and, to prevent singularities, subject also to a minimum intersite distance; each gNB spawns three sectors, with a certain downtilt. On top of this, a second network of *dedicated* gNBs can be optionally deployed, only on rooftops and with an uptilt. The transmitting UAVs are also distributed uniformly, on planes at specific heights. The overall setting is illustrated in Fig. 2 and detailed in Table I. The Python-based system-level simulator developed to obtain the coverage insights reported in this paper is freely available to facilitate reproducibility and research follow-ups [22].

TABLE I: Simulation parameters.

Parameter	Value
Area (m^2)	1000 \times 1000
Minimum distance between gNBs (m)	10
Minimum distance between UAVs and gNBs (m)	10
ISD_s (m)	400, 200
ISD_d (m)	800, 400, 200
Height of standard gNBs (m)	Unif[2,5]
Height of dedicated gNBs (m)	Unif[10,30]
Bandwidth (MHz)	400
Frequency (GHz)	28
gNB noise figure (dB)	6
UAV TX power (dBm)	23
gNB antenna configuration	8 \times 8 URA
UAV antenna configuration	4 \times 4 URA
3GPP Vertical half-power beamwidth (θ_{3dB})	65 $^\circ$
3GPP Horizontal half-power beamwidth (ϕ_{3dB})	65 $^\circ$

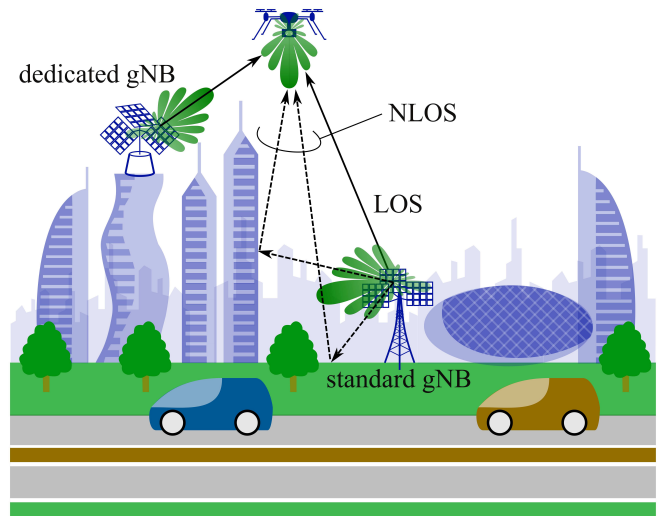


Fig. 2: Urban deployment featuring standard and dedicated gNBs.

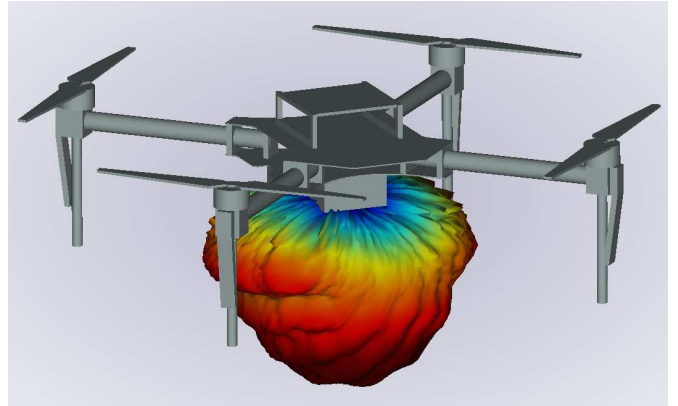


Fig. 3: Radiation pattern of a simulated patch antenna mounted on the bottom of a drone.

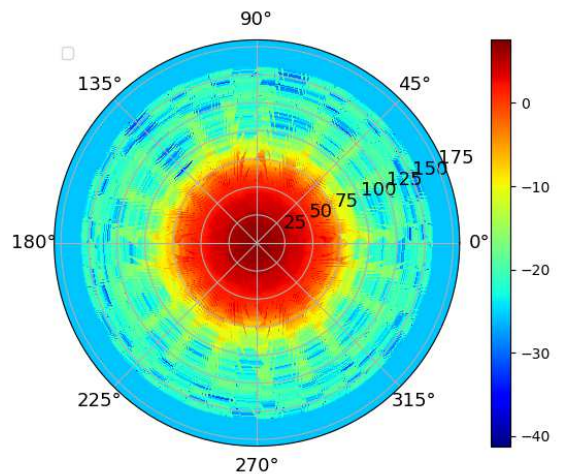


Fig. 4: Simulated UAV antenna field pattern.

Each gNB features an 8 \times 8 uniform rectangular array (URA) per sector while each UAV is equipped with a single

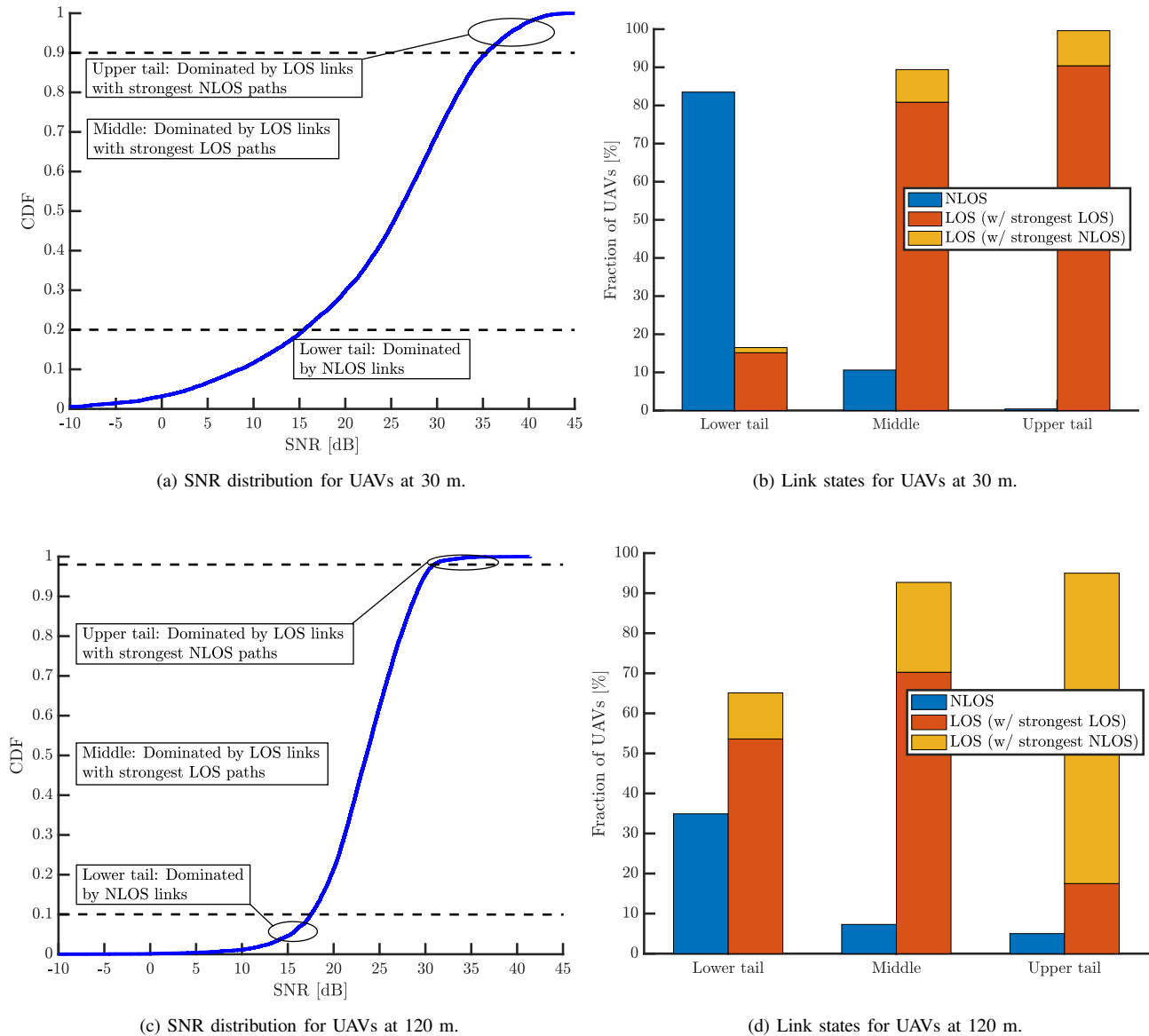


Fig. 5: SNR and link state distributions for $ISD_s = 200$ m with UAV altitudes of 30 and 120 m.

4×4 URA. The antennas of standard and dedicated gNBs are, respectively, downtilted by -12° and uptilted by 45° . In turn, the UAV antennas are pointed downwards (-90°).

From the locations of the gNBs and UAVs, and the type of gNBs, the generative model generates the random multipath channels for each gNB-UAV pair. We focus on the uplink (UAV \rightarrow gNB) since this is the power-limited link. From the multipath channel and antenna array assumptions, the uplink SNR on each gNB-UAV link can be straightforwardly calculated [25]. Specifically, each randomly generated channel consists of L paths. For each path ℓ , the model outputs the angles of arrival (AOA) in elevation and azimuth, θ_ℓ^r and ϕ_ℓ^r , the angles of departure (AOD), θ_ℓ^{tx} and ϕ_ℓ^{tx} , and the power gain, G_ℓ . We adopt the gNB antenna pattern specified by 3GPP [26] and the simulated UAV antenna pattern shown in Fig. 3 with

polar representation given in Fig. 4 [19]. This pattern is from a patch antenna element simulated in a high frequency structure simulator using geometric optics at 28 GHz. The element is mounted facing vertically downwards on a 3D model of a drone frame composed of carbon fiber material. The patterns on the transmit and receive arrays, along with these AOAs and AODs, yield the transmit and receive element gains for path ℓ , denoted by A_ℓ^{tx} and A_ℓ^r . Standard long-term beamforming is applied, whereby the transmit and receive beamforming vectors align with the maximal-eigenvalue eigenvector of the channel covariance [25], [27]. This altogether yields the SNR for each link, and then each UAV connects to the gNB offering the highest SNR.

IV. COVERAGE WITH STANDARD gNBs

Let us begin by considering a deployment reliant exclusively on standard gNBs. The goal in this section is to assess the extent to which such a deployment can provide satisfactory aerial coverage.

With the SNR taken as a proxy for coverage, Fig. 5 presents the distribution of the SNR with $ISD_s = 200$ m with UAV altitudes of 30 and 120 m. At least 95% of UAVs at 30 m have an $SNR > 0$ dB, testifying to an acceptable coverage at low altitudes. Moreover, the coverage improves with altitude. By 120 m, almost all UAVs achieve in excess of 15 dB.

The result is surprising given the downtilted directional nature of the antennas at the gNBs, with a front-to-back ratio of 30 dB. To understand how UAVs still enjoy satisfactory coverage, Fig. 5 identifies three distinct SNR regions and then examines the distribution of link states in each such region. We observe that:

- The lower tail corresponds predominantly to NLOS links.
- The middle section is dominated by LOS links whose LOS component is in turn dominant.
- The upper tail is mostly constituted by LOS links, but with an NLOS link being the strongest link after accounting for the antenna gains.

Thus, in the lower tail, which is what determines the coverage, the connectivity rests mostly on NLOS communication, meaning through a conjunction of gNB antenna sidelobes and reflected paths. Both these ingredients turn out to play a substantial role. To illustrate this point, Fig. 6 depicts the elevation AOA for the strongest path at the gNB as the UAV travels from the vertical of that gNB to a horizontal distance of 500 m at an altitude of 60 m. The result is averaged over 10000 channel realizations, and presented for antenna elements having the 3GPP antenna pattern as well as for omnidirectional elements. The comparison reveals that the gNB leverages NLOS paths that happen to be stronger than the LOS, especially when the UAV is horizontally close. Conversely, without antenna directivity, the gNB would merely track the weaker LOS path.

As the UAV altitude increases, the LOS probability grows, but NLOS paths continue to play an important SNR-enhancing role. This phenomenon is evidenced by Fig. 7, which visually shows how the range of elevation AOAs expands with the altitude, reinforcing the probability that an NLOS path impinges from a direction with substantial antenna gain.

Altogether, a standard 5G mmWave deployment suffices for UAV coverage when $ISD_s = 200$ m. Then, as ISD_s extends beyond this value, coverage decays for low-altitude UAVs, and a progressively higher minimum altitude is required for service. For $ISD_s = 400$ m, for instance, roughly 10% of UAVs at 30 m turn out to experience negative-dB SNRs, indicating that coverage is no longer guaranteed at this altitude.

V. COVERAGE ENHANCEMENT WITH DEDICATED gNBs

Having characterized the coverage for a standard deployment, let us now quantify the impact of incorporating dedicated gNBs with $ISD_d \geq ISD_s$. Fig. 8 shows the fraction

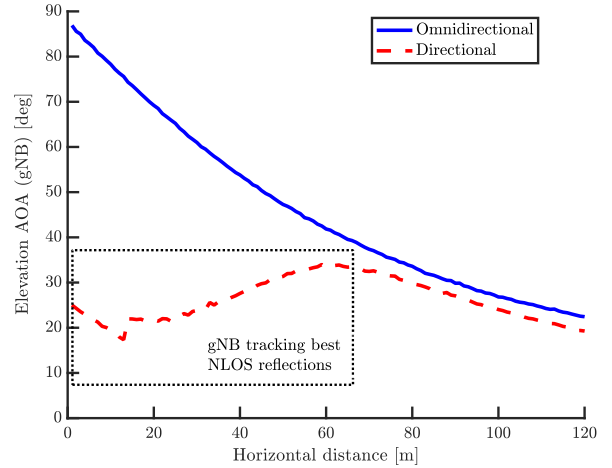


Fig. 6: Elevation AOA for the strongest path at the gNB with both omnidirectional and directional ($\theta_{3dB} = 65^\circ$, $\phi_{3dB} = 65^\circ$, maximum gain 8 dBi) antenna elements. The UAV travels horizontally at a fixed altitude of 60 meters. For each horizontal displacement, the results are averaged over 10000 realizations.

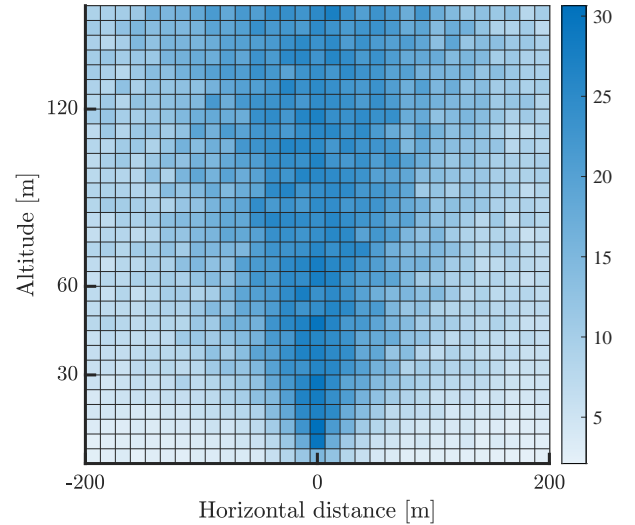


Fig. 7: Standard deviation of the elevation AOA (in degrees) at the gNB, as a function of the horizontal distance and altitude of the UAV relative to that gNB, which is positioned at (0,0).

of UAVs that choose to connect to such dedicated gNBs, for $ISD_d = 200, 400, \text{ or } 800$ m, rather than connect to standard gNBs with $ISD_s = 200$ m.

This fraction is presented for various UAV altitudes, indicating that it is the UAVs at higher altitudes that exhibit increased preference for the dedicated gNBs. This is toned down at lower altitudes, but even then dedicated gNBs are overall favored when their density equals that of the standard ones. As one would expect, the relevance of dedicated gNBs dwindles as they become sparser.

The ensuing SNR distributions, with each UAV connecting to its preferred gNB, either standard or dedicated, are

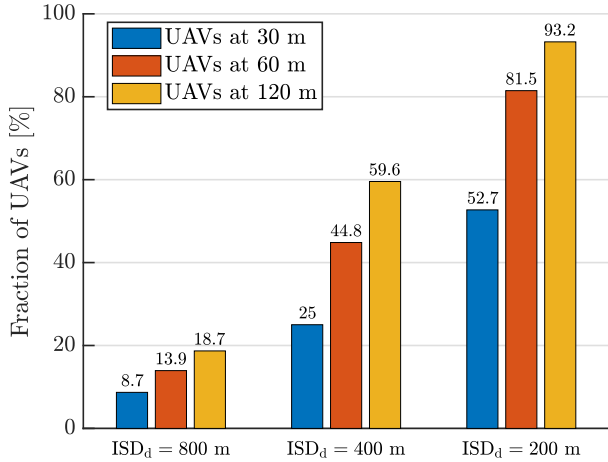


Fig. 8: Fraction of UAVs that choose to connect to dedicated gNBs when $ISD_s = 200$ m, parameterized by ISD_d and by the UAV altitude.

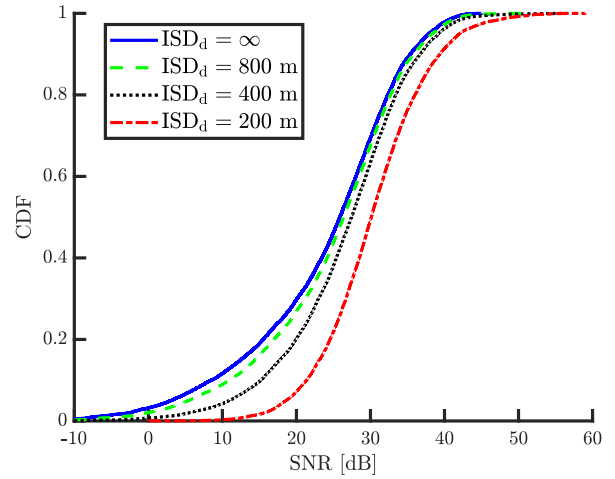
presented in Fig. 9 for altitudes of 30, 60 and 120 m. (The leftmost curves for 30 and 120 m coincide with those in Fig. 5, when the dedicated gNBs are absent.) The SNR improvement with dedicated gNBs is pronounced, especially in the lower tail and for higher-altitude UAVs, provided those dedicated gNBs are as dense as their standard counterparts. With sparser dedicated gNBs, the improvement weakens, becoming anecdotal for $ISD_d = 4ISD_s$.

Another perspective on the effects of deploying dedicated gNBs is provided in Fig. 10, which shows the fraction of links that are NLOS. The addition of dedicated gNBs drastically curbs that fraction at all the considered UAV altitudes and, with $ISD_d = ISD_s$, a vast majority of UAVs enjoy LOS connectivity to their serving gNBs.

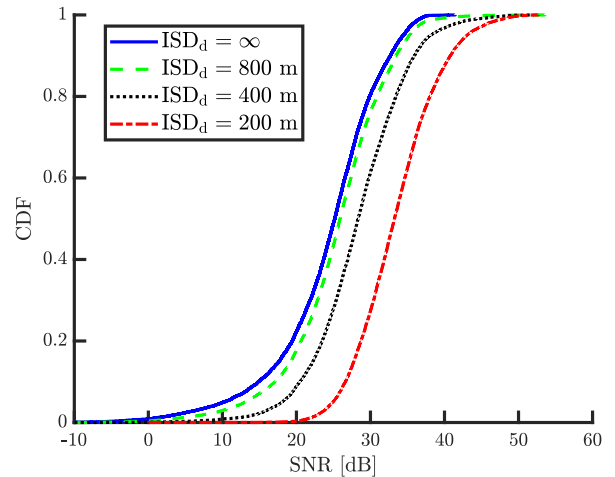
VI. CONCLUSION

On the basis of a generative-model trained to recreate the propagation conditions in the city of Boston, this paper has established that a standard 5G mmWave deployment, intended for ground users, suffices to provide satisfactory aerial coverage; qualitatively similar observations have been drawn with the generative model trained on sections of Boston, Moscow, Tokyo, and Beijing. The potential enhancements provided by additional dedicated gNB, uptilted and rooftop-mounted, have also been quantified. More in detail, the takeaways of our study are as follows:

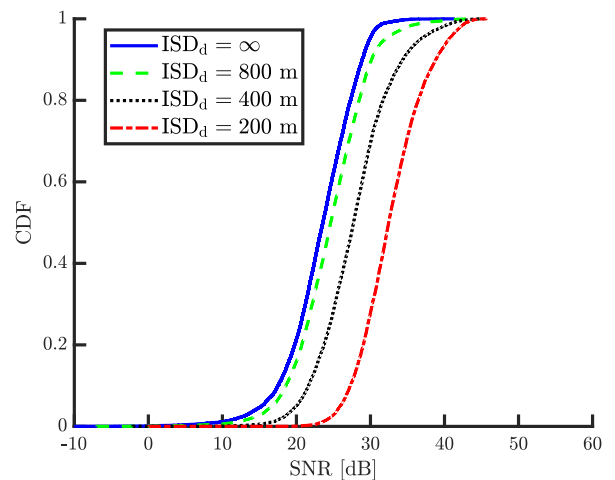
- For UAVs at 120 m and higher, standard deployments provide strong coverage thanks to a combination of gNB antenna sidelobes and strong NLOS paths produced by reflections. Due to the downtilt preventing strong antenna gains in the LOS direction, the LOS path may be overpowered by some of those NLOS reflections.
- Standard infrastructure can also cover UAVs at 30–120 m very well, as long as the deployment is dense enough, namely for $ISD_s \leq 200$.



(a) UAV altitude: 30 m.



(b) UAV altitude: 60 m.



(c) UAV altitude: 120 m.

Fig. 9: SNR distributions for UAVs at different heights randomly distributed in urban scenarios where connectivity is provided by a combination of standard gNBs ($ISD_s = 200$) and dedicated gNBs ($ISD_d = 200, 400, 800$).

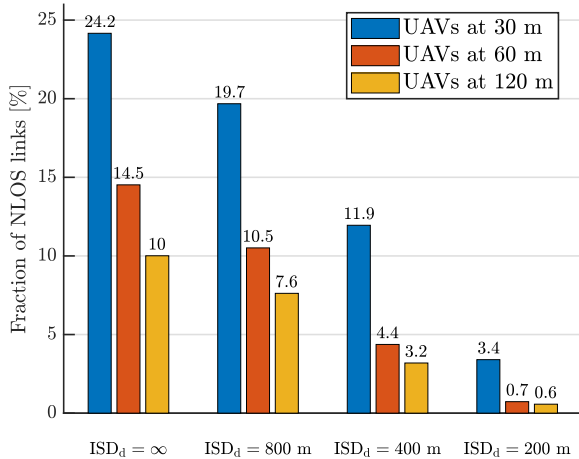


Fig. 10: Fraction of NLOS links at each height for $ISD_s = 200$ m, as a function of ISD_d .

- Deploying additional dedicated gNBs enhances the SNR, but the effect is only pronounced if their density is comparable to that of the standard gNBs. If the standard deployment is sparser than $ISD_s = 200$ m, dedicated gNBs are likely to be instrumental to ensure coverage.

We note that all these observations have been collected for long-term beamforming, and the ensuing conclusions would only be reinforced with more sophisticated beamforming procedures reliant on instantaneous channel-state information at the transmitter.

Also noteworthy is that, while the reported results correspond to static simulations, multipath dynamics can be reproduced from the outputs of the generative channel model, opening the door to characterizing the impact of channel estimation and imperfect beamforming.

Further planned extensions of this work include accounting for intercell interference, spatial multiplexing, and the interplay with ground users, with the ultimate goal of evaluating not only the coverage but further the *capacity* that urban mmWave deployments can provide to UAVs, as well as designing UAV offloading, mobility management, and power control strategies for heterogeneous standard-plus-dedicated deployments.

REFERENCES

- [1] 3GPP Technical Report 36.777, “Technical specification group radio access network; Study on enhanced LTE support for aerial vehicles (Release 15),” Dec. 2017.
- [2] G. Geraci, A. Garcia-Rodriguez, M. M. Azari, A. Lozano, M. Mezzavilla, S. Chatzinotas, Y. Chen, S. Rangan, and M. Di Renzo, “What will the future of UAV cellular communications be? A flight from 5G to 6G,” available as *arXiv:2105.04842*, 2021.
- [3] Y. Zeng, I. Guvenc, R. Zhang, G. Geraci, and D. W. Matolak (Eds.), *UAV Communications for 5G and Beyond*. Wiley – IEEE Press, 2020.
- [4] W. Saad, M. Bennis, M. Mozaffari, and X. Lin, *Wireless Communications and Networking for Unmanned Aerial Vehicles*. Cambridge University Press, 2020.
- [5] G. Geraci, A. Garcia-Rodriguez, and X. Lin, “Preparing the ground for drone communications,” in *IEEE ComSoc Technology News*, June 2019.

- [6] A. Fotouhi, H. Qiang, M. Ding, M. Hassan, L. Galati-Giordano, A. Garcia-Rodriguez, and J. Yuan, “Survey on UAV cellular communications: Practical aspects, standardization advancements, regulation, and security challenges,” *IEEE Commun. Surveys Tuts.*, vol. 21, no. 4, pp. 3417–3442, 2019.
- [7] M. Mozaffari, W. Saad, M. Bennis, Y. Nam, and M. Debbah, “A tutorial on UAVs for wireless networks: Applications, challenges, and open problems,” *IEEE Commun. Surveys Tuts.*, vol. 21, no. 3, pp. 2334–2360, third quarter 2019.
- [8] Y. Zeng, J. Lyu, and R. Zhang, “Cellular-connected UAV: Potentials, challenges and promising technologies,” *IEEE Wireless Commun. Mag.*, vol. 26, no. 1, pp. 120–127, Feb. 2019.
- [9] G. Geraci, A. Garcia Rodriguez, L. Galati Giordano, D. López-Pérez, and E. Björnson, “Understanding UAV cellular communications: From existing networks to massive MIMO,” *IEEE Access*, vol. 6, pp. 67 853–67 865, Nov. 2018.
- [10] A. Garcia-Rodriguez, G. Geraci, D. López-Pérez, L. Galati Giordano, M. Ding, and E. Björnson, “The essential guide to realizing 5G-connected UAVs with massive MIMO,” *IEEE Commun. Mag.*, vol. 57, no. 12, pp. 84–90, Dec. 2019.
- [11] X. Lin, R. Wiren, S. Euler, A. Sadam, H. Määtänen, S. Muruganathan, S. Gao, Y.-E. Wang, J. Kauppi, Z. Zou, and V. Yajnanarayana, “Mobile network-connected drones: Field trials, simulations, and design insights,” *IEEE Veh. Tech. Mag.*, vol. 14, no. 3, pp. 115–125, 2019.
- [12] M. M. Azari, F. Rosas, and S. Pollin, “Cellular connectivity for UAVs: Network modeling, performance analysis and design guidelines,” *IEEE Trans. Wireless Commun.*, vol. 18, no. 7, pp. 3366–3381, July 2019.
- [13] H. C. Nguyen, R. Amorim, J. Wigard, I. Z. Kovács, T. B. Sørensen, and P. Mogensen, “How to ensure reliable connectivity for aerial vehicles over cellular networks,” *IEEE Access*, vol. 6, pp. 12 304–12 317, Feb. 2018.
- [14] 3GPP Technical Specification 22.125, “Technical specification group services and system aspects; unmanned aerial system (UAS) support in 3GPP; Stage 1; Release 17,” Dec. 2019.
- [15] J. Andrews, S. Buzzi, W. Choi, S. Hanly, A. Lozano, A. Soong, and J. Zhang, “What will 5G be?” *IEEE J. Sel. Areas Commun.*, vol. 32, no. 6, pp. 1065–1082, 2014.
- [16] F. Boccardi, R. Heath, A. Lozano, T. Marzetta, and P. Popovski, “Five disruptive technology directions for 5G,” *IEEE Commun. Magazine*, vol. 52, no. 2, pp. 74–80, 2014.
- [17] C. Zhang, W. Zhang, W. Wang, L. Yang, and W. Zhang, “Research Challenges and Opportunities of UAV Millimeter-Wave Communications,” *IEEE Wireless Commun.*, vol. 26, no. 1, pp. 58–62, 2019.
- [18] W. Xia, M. Polese, M. Mezzavilla, G. Loiano, S. Rangan, and M. Zorzi, “Millimeter Wave Remote UAV Control and Communications for Public Safety Scenarios,” in *16th Annual IEEE International Conference on Sensing, Communication, and Networking (SECON)*, 2019.
- [19] V. Semkin, S. Kang, J. Haarla, W. Xia, I. Huhtinen, G. Geraci, A. Lozano, G. Loiano, M. Mezzavilla, and S. Rangan, “Lightweight UAV-based measurement system for air-to-ground channels at 28 GHz,” available as *arXiv:2103.17149*, 2021.
- [20] W. Xia, S. Rangan, M. Mezzavilla, A. Lozano, G. Geraci, V. Semkin, and G. Loiano, “Generative neural network channel modeling for millimeter-wave UAV communication,” available as *arXiv:2012.09133*, 2020.
- [21] “mmWave channel modeling git hub repository,” available on-line at <https://github.com/nyu-wireless/mmwchanmod>.
- [22] “Github: Millimeter-Wave UAV Coverage in Urban Environments,” <https://github.com/sk8053/uavchanmod>, online; accessed 29 January 2014.
- [23] W. Xia, S. Rangan, M. Mezzavilla, A. Lozano, G. Geraci, V. Semkin, and G. Loiano, “Millimeter wave channel modeling via generative neural networks,” in *Proc. IEEE Globecom Workshops*, 2020, pp. 1–6.
- [24] “Remcom,” available on-line at <https://www.remcom.com/>.
- [25] R. W. Heath Jr. and A. Lozano, *Foundations of MIMO Communication*. Cambridge University Press, 2018.
- [26] 3GPP Technical Report 36.873, “Technical specification group radio access network; Release 12,” Dec. 2017.
- [27] M. R. Akdeniz, Y. Liu, M. K. Samimi, S. Sun, S. Rangan, T. S. Rappaport, and E. Erkip, “Millimeter wave channel modeling and cellular capacity evaluation,” *IEEE J. Sel. Areas Commun.*, vol. 32, no. 6, pp. 1164–1179, 2014.